



Health & Wealth, We Manage Both



www.alankit.com



## Demat A/c Opening Form with CDSL

NAME : \_\_\_\_\_

ACCOUNT NO : \_\_\_\_\_



### OUR SERVICES

#### FINANCIAL SERVICES

Trading & Clearing Member

- NSE - BSE - USE - MCX'SX

Commodity Broking

- NCDEX - MCX - NMCE - DGCX - IEX - ICEX - NSEL - NCDEX - SPOT

Internet Trading - Margin Trading

Depository Participant- NSDL - CDSL

Registrars & Share Transfer Agent

Online IPO Apply

POP for the New Pension System (NPS)

#### e-GOVERNANCE

TIN Facilitation Centre & PAN Centre

e-Return Intermediaries

CRA Facilitation Centre

POS - National Skills Registry (NSR)

Enrollment Agency for UID

.IN (Dot In) Registrar

#### WEALTH MANAGEMENT

Portfolio Management

Financial Planning

Research Services

Investment Advisory Services

#### HEALTH CARE

Third Party Administrator

Rashtriya Swasthya Bima Yojna

Pharmaceutical Retail Chain

Institutional Supplies

#### INSURANCE

Insurance Broking - Life & General

## Alankit Assignments Limited

### Corporate Office

'Alankit House', 2E/21, Jhandewalan Extension, New Delhi - 110055

Tel : 91-11-42541234, 23541234 ;

Fax : 91-11-23552001

Website : www.alankit.com

### Head Office

2E/8, Jhandewalan Extension, New Delhi - 110055

Tel : 91-11-42541872-876

### Regd. Office

205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055

# APPLICATION FORM FOR OPENING A DEMAT ACCOUNT WITH CDSL

## ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)

Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234

Fax : 91-11-41543453, 41543445

E-mail : dp@alankit.com, info@alankit.com

ASSIGNMENTS LIMITED 14100

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001 14101

Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,

E-mail : alankitjpr@alankt.com

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, 14102

Bareilly-243001 Tel.: 0581-2551164, 2551086-88

Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

Receipt No. \_\_\_\_\_

Cash/Cheque No. \_\_\_\_\_

Rs. \_\_\_\_\_

OPENED BY

VERIFIED BY

**INDIVIDUAL**

**NRI**

**FOREIGN NATIONAL**

**Application No.**

**DP Internal  
Reference No.**

**Date**

**Client ID**

-----  
I/We request you to open a Depository Account in my/our name as per the following details :

Type of Account (Please tick whichever is applicable)

Status

**Individual**

Sub Status

**Individual Resident**

**Individual Director**

**Individual Director's Relative**

**Individual HUF/AOP**

**Individual Promoter**

**Individual Margin Trading A/C(MANTRA)**

**Other(Specify)**

Status

**NRI**

Sub Status

**NRI Repatriable**

**NRI Non-Repatriable**

**NRI Repatriable Promoter**

**NRI Non-Repatriable Promoter**

**NRI Non-Depository Receipts**

**Other(Specify)**

Status

**Foreign  
National**

Sub Status

**Foreign National**

**Foreign National- Depository Receipts**

**Other(Specify)**

## **Sole/First Holder's Details**

**Title**

**Mr.**

**Mrs.**

**Ms.**

**First Name**

**Middle Name**

**Last Name**

**Father / Husband Name**

**Correspondence Address**

**Pin Code**

**City**

**State**

**Country**

**E-mail ID**

**Mobile No.**

**Telephon  
e No.**

**Fax**

**Permanent Address(if  
Different from Corr.  
Add.)**

**Pin Code**

**City**

**State**

**Country**

**E-mail ID**

**Mobile No.**

**Telephon  
e No.**

**Fax**

**P.A.N./G.I.R. No.**

**I.T. Circle/  
Ward/District**

**Waiver from Confirmation or  
Credits**

**Yes**

**No**

**A/c Statement requirement**

**Daily**

**Weekly**

**Fortnightly**

**Monthly**

**Receive dividend / interest  
directly in to your bank a/c  
through ECS**

**Yes**

**No**

## **Joint Holders- Second Holder's Details**

**Title**

**Mr.**

**Mrs.**

**Miss Suffix**

**First Name**

**Middle Name**

**Last Name**

**Father / Husband Name**

**Permanent Address**

**Pin Code**

**City**

**State**

**Country**

**E-mail ID**

**Mobile No.**

**Telephon  
e No.**

**Fax**

**P.A.N./G.I.R. No.**

**I.T. Circle/  
Ward/District**

## **Bank Details of Sole/First Holder**

**Electronic Credit or Mandate (For Dividend/  
Interest)**

**Bank Name**

**Branch & Address**

**A/C Type**

**9-Digit MICR  
Code No.**

**Beneficiary Bank Details (To be printed on  
the Cheque/Warrant)**

**Bank Name**

**Branch & Address**

**A/C Type**

**9-Digit  
MICR Code  
No.**

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)  
(ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)  
(iii) Photocopy of the Passbook having name and address of the BO, (or)  
(iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me /us in this form. I/We further agree that any false / misleading information given by me/us or suppression of any material information will render my account liable for termination and further action. Please attach recent passport size photographs in the space provided below :

**Sole/First Holder**

**Name**

**Signature**

Passport size (Please Sign  
the across the Photograph)

**Second Holder**

**Name**

**Signature**

Passport size (Please Sign  
the across the Photograph)

Third Holder

Name

Signature

Passport size (Please Sign  
the across the Photograph)

## Introduction Details

Introduction by an existing  
account holder of

I confirm the identify,  
occupation and address of the  
applicant (s) Introducer's  
Name: (DP Name Pre-  
Printed)

BO ID: Signature of  
Introducer (To be certified  
by DP official)

## Additional Details

SMS Alert Facility

Yes

No

MOBILE No. +91\_\_\_\_\_Refer to Terms & Conditions given as Annexure-A

easi

Yes

No

If yes, please contact your DP for details  
[Facility through CDSL's website: [www.cdslindia.com](http://www.cdslindia.com) wherein a BO can view his ISIN balances, transactions and  
value of the portfolio online.]

Details For First Holder

Date of Birth

Nationality

Indian

Other(Specify)

Sex

Male

Female

Occupation

Service

State Govt.

Central Govt.

NGO

Statutory Body

Pub./Pvt. Sector

Business

Professional

Student

Retired

Housewife

Others (specify)

Nature of business: (Products /Services provided)

### Financial Details:

Income Range per annum:

Up to Rs. 1,00,000

Rs. 2,00,000 to Rs. 5,00,000

Rs. 1,00,000 to Rs. 2,00,000

More than Rs. 5,00,000

### Details For Joint Second Holder

Date of Birth

Nationality

Indian

Other(Specify)

Sex

Male

Female

Occupation

Service

State Govt.

Central Govt.

NGO

Statutory Body

Pub./Pvt. Sector

Business

Professional

Student

Retired

Housewife

Others (specify)

Nature of business: (Products /Services provided)

### Details For Joint Third Holder

Date of Birth

Nationality	Indian	
	Other(Specify)	
Sex	Male	Female
Occupation	Service	State Govt.
	Central Govt.	NGO
	Statutory Body	Pub./Pvt. Sector
	Business	Professional
	Student	Retired
	Housewife	
	Others (specify)	

Nature of business: (Products /Services provided)

**Details of Guardian (If First Holder or Second Holder or Third Holder is a minor)**

Guardian's Name

Relationship

Address

Pin Code	City	State
----------	------	-------

Country	E-mail ID
---------	-----------

Mobile No.	Telephone No.	Fax
------------	---------------	-----

Pin Code	City	State
----------	------	-------

For NRIs

Address

City	State
------	-------

Country	PIN
---------	-----

Application No.	Date
-----------------	------

DP ID	Client ID
-------	-----------

We hereby acknowledge the receipt of the Account Opening Application Form:

First /Sole Holder	Name
--------------------	------



Second H older                      Name

Third H older                      Name

## For Depository Participant

### NOMINATION FORM

I/We \_\_\_\_\_ (Name/s) nominate the following person who is entitled to receive securities outstanding in my/our account particulars whereof are given below, the event of the death of the sole holder or the death of all the Joint Holder.

BO Account Details

DP ID

Client ID

Name of the Sole/First Holder

Name of Second Holder

Name of Third Holder

## Nominee Details

First Name

Middle Name

Last Name

Address

Telephone No.

Fax

E-mail ID

D.O.B.(if  
Minor)

Relationship with BO (if  
any)

As the nominee is minor on this date, I/We appoint following person to act as guardian:

As the nominee is minor on  
this date, I/We appoint Mr./  
Ms./Mrs.

Address

(Age) to receive the securities  
in this account on behalf of the  
nominee in the event of the  
death of the sole holder or the  
death of all the Joint Holder.

Place

Date

Name of the Sole/First Holder

Signature

Name of Second Holder

Signature

Name of Third Holder

Signature

Note: Two witnesses shall attest signature(s) / Thumb impression(s)

### **Details of the Witness**

#### **First Witness**

Name of Witness

Signature of Witness

Address of Witness

#### **Second Witness**

Name of Witness

Signature of Witness

Address of Witness

Nomination accepted and registered vide Registration No. \_\_\_\_\_

If you need any further clarification / information please feel free to call us.

**Instructions for applications :**

**Depository Participant Seal and Signature**

1- If the Clearing member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.

2- Signatures can be in English, Hindi or any of the other languages contained in the VIIIth schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.

3- Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special executive Magistrate are to be provided in case of any attestation done by them.

4- In case of additional signatures, separate annexures should be attached to the application form.

5- In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly not arise copy thereof, Name of the POA, Signature of the POA must be lodged with the DP alongwith the application

6- All correspondence/queries shall be addressed to the First / Sole Applicant only.

7- Suffix refers to Mr. X Junior or Mr. X Senior or Lord Y I or Lord Y II etc.

8- Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.

9- Strike off whichever is not application.

**10- The Following documents are to be submitted by the Investors :**

1 The Introduction may not be required if the certified copies of any one of the following document is submitted by the BO for determining the intending BO bonafied: Photocopy of Election ID Card /Passport /Ration Card

1 Date of Birth Certificate in case of Minors. 11 Copy of RBI Approval for NRIs

Proof of NRI Status.

1 One passport size photograph of each Account Holder.

## **ANNEXURE - A** **Declaration of HUF status**

### **ASSIGNMENTS LTD.**

As I/We wish to open a DP account with you in my capacity as karta of HUF of \_\_\_\_\_ I/we beg to say that the first signatory to this letter, i.e. \_\_\_\_\_ is the karta of the joint Family and other signatories are the adult co- parceners of said family.

I/We further confirm that the business of said joint family is carried on mainly by the said karta as also by the other signatories hereto in the interest and for the benefit of entire body of co-parcener of the joint family.

In view of the fact that ours is not firm governed by the Indian Partnership Act of 1932, we have not got our said firm registered under the said Act.

I/We hereby undertake to inform you the death or birth of a co-parceners or of any change occurring at any time in the membership of our joint family during the currency of the account.

Name of Karta

Name of Adult Co -parceners

1- \_\_\_\_\_ Signature(s)

2- \_\_\_\_\_ Signature(s)

3- \_\_\_\_\_ Signature(s)

4- \_\_\_\_\_ Signature(s)

Name of Adult Co -parceners

1-

D.O.B. of Minors

2-

D.O.B. of Minors

3-

D.O.B. of Minors

4-

D.O.B. of Minors

**ANNEXURE - B**  
**DECLARATION OF NRI STATUS**

**ASSIGNMENTS LTD.**

Number \_\_\_\_\_ • Date of Issue \_\_\_\_\_ • Date of Expiry \_\_\_\_\_

• Place of Issue \_\_\_\_\_ • Issuing Authority \_\_\_\_\_  
2. NATIONALITY \_\_\_\_\_

3. COUNTRY OF ORIGIN \_\_\_\_\_ 4. LOCAL ADDRESS \_\_\_\_\_

#### 5. PURPOSE OF STAY ABROAD

I declare that I am a Non-Resident Indian residing at \_\_\_\_\_ (City / Country of stay) and Citizen

of \_\_\_\_\_ (Name of Country) for the purpose of Foreign Exchange Regulation Act 1973/

Foreign Exchange Management Act 2000 and shall immediately inform you in the event of any change in my address, citizenship and /or residency or other status.

I/We understand that the above account will be opened on the basis of the statements/ declarations made by me/us, and I/We also agree that

if any of the statements/ declarations made herein is found to be not correct in material particulars you are not bound to allow the facility.

I/We would confirm that all debits to my/our accounts for the purpose of investment in India and credits of investments in India are covered either by general or special permission of RBI.

I will ensure that investments in shares / securities or in India out of funds held in my / our account with you are governed by respective regulations of Reserve Bank of India.

Applicant signature :

Encl. : Photocopy of Passport (Countersigned by applicant)

#### CHECKLIST (NRI) - HOLDING STATUS

In case already holding securities :

(a) In the account opening form, RBI reference no. and approval date is to be quoted. Photocopy of the same be provided.

(b) In case NRI has never acquired any holding or securities from secondary market in the relevant column he should write

(i) In the column of Reference No.: "No holdings" (ii) In the column of Approval Date : "Present Date"

(c) In case NRI has acquired securities through allotment in primary market i.e. direct investment scheme the necessary approval would have

been obtained by the issuer company, under such cases he should write

(i) In the column of RBI Reference No.: "Under General Permission" (ii) In the column of RBI Approval Date : "Present Date"

Name of Karta

Name of Adult Co -parceners

1- \_\_\_\_\_ Signature(s)

2- \_\_\_\_\_ Signature(s)

3- Signature(s)

4- Signature(s)

Name of Adult Co -parceners

1- D.O.B. of Minors

2- D.O.B. of Minors

3- D.O.B. of Minors

4- D.O.B. of Minors

**ASSIGNMENTS LIMITED**

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)

Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234

Fax : 91-11-41543453, 41543445

E-mail : dp@alankit.com, info@alankit.com

**ASSIGNMENTS LIMITED 14100**

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001 14101

Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,

E-mail : alankitjpr@alankt.com

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha, 14102

Bareilly-243001 Tel.: 0581-2551164, 2551086-88

Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

Receipt No. \_\_\_\_\_

Cash/Cheque No. \_\_\_\_\_

Rs. \_\_\_\_\_

OPENED BY

VERIFIED BY

**APPLICATION FORM FOR OPENING A DEMAT ACCOUNT WITH CDSL**

(FOR ENTITIES OTHER THAN INDIVIDUALS)

**Please fill all the details in BLOCK LETTERS in English**

Application No.

Date

DP Internal Reference No.

DP ID

Client ID

I/We request you to open a Depository Account in  
my/our name as per the following details :

(To be filled by the Depository Participant)

Type of Account (Please tick whichever is applicable)

**Status**

**Body Corporates**

**Banks**

**Trust**

**Mutual Fund**

**OCB**

**FII**

**CM**

**FI**

**Clearing House**

**Other(Specify)**

**Sub Status:**      **To be filled by the DP**

**First Holder's Details**

Name

Search Name

Correspondence Address

State

City

Country

Pin Code

Tel. No.

Fax No.

E-mail ID

Permanent Address  
(If Different)

State

City

Country

Pin Code

Tel. No.

Fax No.

E-mail ID

P.A.N./  
G.I.R. No.

I.T. Circle/Ward/District

SEBI / ROC / Registration No.  
Wherever Applicable)

SEBI / ROC /  
Registration Date

RBI Registration No.  
Wherever Applicable)

RBI Approval  
Date

Date of Incorporation

Waiver from Confirmation or  
Credits

Yes

No

A/c Statement requirement

Daily

Weekly

Fortnightly

Monthly

### **Other - Second Holder Details**

Name

Father / Husband Name

Permanent Address

City

Tel. No.

Fax No.

E-mail

P.A.N./G.I.R. No.

I.T. Circle/Ward/  
District

Date of Birth

### **Other - Third Holder Details**

Name

Father / Husband Name

Permanent Address

City

Tel. No.

Fax No.

E-mail

P.A.N./G.I.R. No.

I.T. Circle/Ward/  
District

Date of Birth

### **Bank Details of Sole/First Holder**

Electronic Credit or Mandate **(For Dividend/Interest)**

Bank Name

Branch & Address

A/c Type

9-Digit MICR Code No.

Electronic Credit or Mandate **(For Dividend/Interest)**

Bank Name



Branch & Address

A/c Type

9-Digit MICR Code No.

(I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(Ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)

(Iii) Photocopy of the Passbook having name and address of the BO, (or)

(Iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

**For OCBs (Only if the Sole/First Holder if OCB)**

Foreign

Foreign

Address

Address

Qty.

Qty.

Country

Country

Pin Code

Pin Code

Tel. No.

Tel. No.

Fax

Fax

E-mail

E-mail

Currency

Currency

RBI Reference No.

RBI Approval  
Date

Clearing Member Details (To be filled the CMs only)

Name of the Stock Exchange

Name of the CC/CH

Trading ID

Clearing Member ID

Name\*

In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above.

### Additional Details

SMS Alert Facility	Yes	MOBILE No. +91_____
	No	Refer to Terms & Conditions given as Annexure-A
easi	Yes	If yes, please contact your DP for details
	No	[Facility through CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> wherein a BO can view his ISIN balances, transactions and value of the portfolio online.]

### Details For Joint Second Holder

Nationality	Indian		
	Others (Specify)		
Sex	Male		
	Female		
Occupation	Service	Central Govt.	State Govt.
	NGO	Statutory Body	Pub./Pvt. Sector
	Business	Professional	Student
	Retired	Housewife	Others (specify)

Nature of business: (Products / Services provided)

I/We have read the agreement, terms & conditions and agree to abide by and bound by the Bye laws as are in force from time to time for such Accounts, I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and further action. Please attach recent passport size photographs in the space provided below :

### Sole/First Holder

Name

Designation

Signature

Passport size  
Photo

(Please Sign the across  
the Photograph)

### **Second Holder**

Name

Designation

Signature

Passport size  
Photo

(Please Sign the across  
the Photograph)

### **Third Holder**

Name

Designation

Signature

Passport size  
Photo

(Please Sign the across  
the Photograph)

### **Introduction Details**

Introduction by an existing account holder of \_\_\_\_\_

I confirm the identify, occupation and address of the applicant (s) (DP Name Pre-Printed)

Introducer's Name: \_\_\_\_\_

BO ID: \_\_\_\_\_

Signature of Introducer

(To be certified by DP official)

# **AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO OPEN A BENEFICIAL OWNER'S ACCOUNT**

This Agreement made and entered into at \_\_\_\_\_

this \_\_\_\_\_ day of \_\_\_\_\_ Two Thousand \_\_\_\_\_ between

Alankit Assignments Limited, having its registered office at 205 - 208, Anarkali Complex, Jhandewalan Extn., New Delhi - 110055 and situated at \_\_\_\_\_

\_\_\_\_\_ hereinafter called "the Participant" of the One

Part;

AND \_\_\_\_\_

having his/its office/ registered office/address at \_\_\_\_\_

\_\_\_\_\_ hereinafter called " the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded herein below

NOW THIS AGREEMENT WITNESSTH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS

## **General Clause**

- The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI ( Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement .

## **Fees, Charges and deposits**

- The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid (not exceeding 18%) per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

## **Statement of Account**

- The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each 1 (quarter). Such statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

## **Beneficial Owner shall intimate change of particulars**

- The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

## **Participant not liable for claims against Beneficial Owner**

- CDSL shall not be liable to the Beneficial Owner an any manner towards losses, liabilities and/or expenses arising in the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

## **Authorised Representative**

- Where the beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations there to shall be forthwith communicated to the Participant.

## **Closure of Account**

- The Beneficial owner, my, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

## **Stamp Duty**

- Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, documents or writing executed in pursuance hereof between the parties hereto shall be borne and paid by te beneficial owner.

## **Force Majeure**

- Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lighting, earthquake, fire, blast, explosion or any act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

## **Service of Notice**

- Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgment of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

## **Arbitration**

- The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliations specified under the Bye Laws.

## **Jurisdiction**

- The parties hereto agree to submit to the exclusive jurisdiction of the courts in Delhi.

## **Governing Law**

- The Agreement shall be governed by the construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands / seats to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

By the withinnamed the  
Participant M/s

**Alankit Assignments limited**

By the hand of its authorised  
Representative  
Shri \_\_\_\_\_

In the presence of \_\_\_\_\_

SIGNED AND DELIVERED

By the withinnamed the

Beneficial Owner



In the presence of \_\_\_\_\_

# Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)  
 Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234  
 Fax : 91-11-41543453, 41543445  
 E-mail : dp@alankit.com, info@alankit.com  
 101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001  
 Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,  
 E-mail : alankitjpr@alankit.com  
 Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha,  
 Bareilly-243001 Tel.: 0581-2551164, 2551086-88  
 Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

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## CHARGE SCHEDULE FOR A INDIVIDUAL & CORPORATE ACCOUNT (FOR CDSL)

W.E.F. 1-12-2006

Nature of Service	Charges for Individual Account	Charges for Corporate Account
Annual Account Maintenance Charges	Rs 300/- per financial year (1st April to 31st March) A. Annual account maintenance charges for clients availing easiest facility of CDSL for debit instruction through Internet. B. For e-Token user Rs. 1500/- per annum extra. C. For pass word users Rs 250/- per annum extra.	Rs. 750/-per financial year (1st April to 31st March) A. Annual account maintenance charges for clients availing easiest facility of CDSL for debit instruction through Internet. B. For e-Token user Rs. 1500/- per annum extra. C. For pass word users Rs 250/- per annum extra.
Custody Charges	Nil	NIL
Dematerialization	Rs 20.00 plus Rs 3.00 per certificate subject to maximum of Rs 250.00	Rs 20.00 plus Rs 3.00 per certificate subject to maximum of Rs 250.00
Transaction Charges		
Delivery	Rs.16/- per debit instruction	Delivery Rs. 11/- per debit instruction
Receipts	NIL	NIL
Rejection Charges	Rs 20/- per failed instruction.	Rs 10/- per failed instruction.
Pledge Acceptance (By Pledgor)	Rs 25/-	Rs 25/-
Unpledge Acceptance (By Pledgor)	Rs 25/-	Rs 25/-
Pledge Invocation Acceptance (By Pledgee)	Rs 25/-	Rs 25/-
Rematerialisation	A. Rs. 20/- for every hundred securities or part thereof, or B. Rs. 20/- per certificate (Whichever is higher)	A. Rs. 20/- for every hundred securities or part Thereof, or B. Rs. 20/- per certificate (Whichever is higher)
Delivery instruction book	Rs 40/ per book	Rs 40/ per book

Any service not listed above will be charged for extra.

No refund of annual charges, whenever the account is closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on ro within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs.500/- shall be maintained as advance towards future charges.
- In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @ Rs. 50/- per mail shall be charged extra.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- In case of demat rejection postage shall be charged @ Rs. 30/- for dispatch to the client for removal of objection.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory



First Holder



Second Holder



Third Holder



## DP-BO AGREEMENT FOR COMMODITIES

**Alankit**

Agreement between the DEPOSITORY PARTICIPANT (entity registered as Depository Participant of Central Depository Services (India) Limited ("CDSL") with Securities And Exchange Board of India (SEBI) under SEBI (Depositories & Participants) Regulation 1996 for the purpose of holding and transacting in electronic warehouse receipts.

This agreement made and entered into this \_\_\_\_\_ day of \_\_\_\_\_  
between \_\_\_\_\_  
Situating at \_\_\_\_\_

\_\_\_\_\_ (Hereinafter called the "BO") and

**Alankit Assignments Limited** situated at 1E/13, Ground Floor, Jhandewalan  
Extn., New Delhi - 110 055 (hereinafter called the "Depository Participant" or "DP").

### WITNESSETH

WHEREAS the Depository Participant is registered as participant in the Central Depository Services (India) Limited. ("CDSL"), a duly registered depository under the Depositories Act, 1996.

WHEREAS the BO has furnished to the Depository Participant the duly filled in application form as prescribed by CDSL requesting therein the Depository Participant to open an account with the Depository participant; and Depository Participant has represented to the BO that it has set up a facility to extend depository services offered by CDSL to warehouse receipts.

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the Agreement hereby covenant and agree as follows:

1. The BO shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the BO and for rendering such other services as may be agreed to from time to time between the Depository Participant and the BO as set out in SCHEDULE A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the BO. Depository Participant shall not levy account closure charges if BO choose to close the account.
2. The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipts, if any, held in dematerialised form and shall not commingle the same with the warehouse receipts held in dematerialised form by the BO.
3. The Depository Participant undertakes that a transfer to and from the accounts of the BO shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the BO and that the Depository Participant shall maintain adequate audit trail of such authorization.
4. The Depository Participant agrees that the BO may give written standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
5. The Depository Participant undertakes to provide a transaction statement to the BO atleast at monthly intervals unless the Depository Participant and the BO have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the BO atleast once a quarter.
6. The Depository Participant undertakes to resolve all legitimate grievances of the BO against the Depository Participant within a period of thirty days.
7. The BO agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in Schedule 'A'. However, if the BO gets any erroneous credit of securities / WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
8. The BO may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic warehouse receipt. The BO acknowledges that neither the Depository Participant nor CDSL shall be responsible for delivery of the commodities against the electronic warehouse receipts, whether on grounds of quantity, quality, timeliness of the delivery or otherwise. The BO hereby indemnifies and agrees to keep indemnified and hold the Depository Participant the CDSL harmless from and against any loss, claim, damage, injury or the cost and expense relating to delivery of the commodity underlying the warehouse receipt.
9. The charges related to the warehousing service shall be settled in accordance with the arrangement agreed to by the Client, Depository Participant and warehouse without any recourse to CDSL.
10. On the failure of the BO to pay the charges for provision of services by the DP as laid out in clause (1) of this Agreement within a period of fifteen days from the date of demand, Depository Participant may terminate this Agreement and close the account of the BO by requiring it to specify whether the balances in its account be transferred to the account of the BO held with another Depository Participant or send a delivery advise to related warehouse.
11. The BO further agrees that in the event of the BO committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement within a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, The Depository Participant may charge interest at a rate not exceeding 15%. In case the BO has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client. If the BO does not make the payment for more than two months, Depository Participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7 days notice to the Client. The DP shall always be deemed to have had a first charge and lien over the warehouse receipts in the account of the BO towards recovery of amounts due under this Agreement.
12. The Depository Participant shall have the right to terminate this Agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the

Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this Agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.

13. In the event of Depository Participant being suspended or terminated by CDSL / SEBI in terms of Bye Laws/ Operating Instruction of CDSL or SEBI (Depositories & Participants) Regulation, 1996 Depository Participant / CDSL may give a notice of not less than 30 days of such suspension / termination to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advise against electronic warehouse receipts within a period of three days.
14. The BO shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form. The BO may exercise the right to freeze his account maintained with the Depository Participant so as to lock the electronic warehouse receipts held with the Depository Participant. The BO may exercise the right to un-freeze his account maintained with the Depository Participant. Such freezing the un-freezing of the account shall be in accordance with the procedure prescribed in this regard by CDSL.
15. The BO shall notify the Depository Participant within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
16. The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the CDSL from time to time.
17. The Depository Participant shall have liable to the BO in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to Client's account.
18. Depository Participant shall discharge all its obligations to the BO as provided by SEBI / any other regulatory authority and / or those guidelines / instructions issued by CDSL from time to time and in force at the time of entering into this Agreement and as may be amended as if such BO were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this Agreement.
19. All claims, differences and disputes between Depository Participants and Clients arising out of or in relation to dealings on the CDSL Depository including any agreements, contracts and transactions made subject to this Agreement or with reference to anything incidental thereto or in pursuance thereof or relation to their validity, construction, interpretation, fulfillment or the right, obligations and liabilities of the parties thereto and including any question whether such dealings, transactions, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the CDSL, Bye-Laws, Operating Instruction for disputes between DP and clients in securities transactions shall be applicable to disputes arising out of and in connection with this Agreement, as if the same have been incorporated here in below and formed part of this agreement.

IN WITNESS WHEREOF the BO and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by

For and on behalf of the Client



Signed and delivered by

For and on behalf of the Client



WITNESS

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

WITNESS

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



# Alankit ASSIGNMENTS LIMITED

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Bareilly-243001 Tel.: 0581-2551164, 2551086-88  
Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

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## CHARGE SCHEDULE FOR INDIVIDUAL ACCOUNT (COMMODITY) FOR CDSL CD-FR-22

Annual Account Maintenance Charges	Rs. 300/- per financial year (1st April to 31st March)		
Maximum Commodity Holding (MCH) in the account (To be filled in by the Client)	Rs. _____		
Permanent Deposit	An amount equal to 0.5 % of the MCH will be maintained with us on an ongoing basis as an interest free deposit towards Future Warehouse charges. This amount will be released at the time of Account closure.		
Commodity Holding Charges (Per Month)	MCH upto Rs. 20 Lakhs MCH between 20 Lakhs and 50 Lakhs MCH more than Rs. 50 Lakhs	0.04% 0.03% 0.02%	On the average value of commodity Held in the account in tat calender month.*
Transaction Charges	Delivery Rs.19/- per debit instruction NIL		
Rejection Charges	Rs 20/- per failed instruction.		
Pledge Acceptance (By Pledgor)	Rs 25/-		
Unpledge Acceptance (By Pledgor)	Rs 25/-		
Pledge Invocation Acceptance (By Pledgee)	Rs 25/-		
Rematerialisation	Rs. 75/- per instruction		
Delivery Instruction Book Charges	Rs. 40/- per book containing 20 leaves		
Warehouse / RTA / NCDEX charges	Will be payable at actual as charged by the exchange / warehouse		

Any Service Not Listed Above Will Be Charged For Extra.

No Refund of Annual Charges, whenever the Account Is Closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on or within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs. 500/- shall be maintained as advance towards future charges.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- **\*Average value of commodity held during the month will be calculated by multiplying by average daily quantities held in the account for a month by the closing price of the commodity on the clearing day of the month.**
- Charges / Services standards are subject to revision at Depository Participants sole discretion whenever the charges are revised by Depository, 30 days notice would be given by publication in newspaper/post.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory



First Holder



Second Holder



Third Holder





## Alankit ASSIGNMENTS LIMITED

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### CHARGE SCHEDULE FOR CLEARING MEMBER POOL ACCOUNT (COMMODITY) FOR CDSL CD-FR-22

W.E.F. - 01-07-2007

Nature of Service	Charges
Fixed Charges	Rs. 500/- per month
Maximum Commodity Holding (MCH) in the account (To be filled in by the Client)	Rs. _____
Commodity Holding Charges (Per Month)	0.02% value of Commodity
Transaction Charges	Delivery Rs.19/- per debit Instruction
CM Pool to CM Pool (Transfer)	Deliveries Rs. 15/- per debit Instruction
Delivery Instruction Book	Rs 40/- per book
Rematerialisation	Rs 75/- per request
Permanent Deposit	An amount equal to 0.5% of the MCH will be maintained with us on an ongoing basis as an interest free deposit towards Future Warehouse charges. This amount will be released at the time of account closure.
Warehouse / RTA / NCDEX / MCX charges	Will be payable at actual as charged by the exchange / warehouse/ RTA

Any Service Not Listed Above Will Be Charged For Extra.

No Refund of Annual Charges, whenever the Account Is Closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on or within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs. 500/- shall be maintained as advance towards future charges.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- \*Average value of commodity held during the month will be calculated by multiplying by average daily quantities held in the account for a month by the closing price of the commodity on the clearing day of the month.**
- Charges / Services standards are subject to revision at Depository Participants sole discretion whenever the charges are revised by Depository, 30 days notice would be given by publication in newspaper/post.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory



First Holder





## **Instructions for applications :**

1. Signatures can be in English, Hindi or any of the other languages contained in the VIIIth schedule of the Constitution of India. Thumb impressions and signatures Other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
  2. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special executive Magistrate are to be provided in case of any attestation done by them.
  3. In case of additional signatures, separate annexures should be attached to the application form.
  4. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, must be lodged along with the application
  5. All correspondence/queries shall be addressed to the First / Sole Applicant only.
  6. Strike off whichever is not application.
  7. The Following documents are to be submitted by the Corporate Applicants : :
    - I Certified true copy of Board Resolution for persons authorized to act as Authorised Signatory (ies).
    - I Memorandum and Articles of Association of the Company
    - I One passport size photograph of each authorized signatory.
  8. In addition to 7 above, the following documents are to be submitted by CMs, FIIs, NRIs and OCBs:-
    - I Copy of Certificate of Registration with SEBI (where applicable)
    - I Copy of RBI Approval for FIIs, NRIs and OCBs.
- I BOs desiring to have their cash corporate benefits like dividends interest etc. on a repayable basis, must forward a certified true copy of the RBI approval to each of the RTAs/ Issuers where then BO is holding the securities clearly mentioned their BO Id and DP Id.

## **Central Depository Services (India) Ltd. (CDSL)**

**28th Floor, Phiroze Jeejeebhoy Towers,**

**Dalal Street, Mumbai - 400 023**

**Ph. 91-22-22723333, Fax : 91-22-22723199**

**Website : <http://www.cdslindia.com>**

**Electronic Access to Securities Information & Execution of Secure Transactions (easiest)**

**Registration Form-Beneficial Owner (BO) / Clearing Member (CM)**

Name of the Beneficial Owner (s) /  
Clearing Member (CM)

1

2

3

DPID

Client ID

User Name

DP Name

DP Address

Email Address of the BO/CM

Tel. No.

Transfer Option

Detail of Trusted A/c (Submit the undertaking from Trusted A/c holder as per format attached,  
applicable only if Trusted A/c option is selected)

**DPID**

1

2

3

**Client ID**

1

2

3

Date \_\_\_\_\_

Place \_\_\_\_\_

**First /Sole Holder**

Name

Signature

**Second Holder**

Name

Signature

**Third Holder**

Name

Signature

\_\_\_\_\_(To be filled up by the DP)

This is to certify that

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

Are maintaining Bo A/c no. \_\_\_\_\_ with us. We have verified the signatures of the said account holders and they match with the specimen signatures as per our records.

Date \_\_\_\_\_

Place \_\_\_\_\_

Signature \_\_\_\_\_

(Authorised Signatory & Samp of DP)

## easiest Terms and Conditions

Beneficial Owner(BO, Clearing Member(CM), Depository Participant(DP) in consideration of their mutual covenants and promises contained herein, and for good and valid consideration, the receipt, sufficiency and adequacy of which is hereby acknowledged, agree to the terms and conditions set for subscribing to **easiest** services :

1. Definitions and Interpretation - In this document, words and expressions listed in this Clause shall carry the meaning assigned to them in this Clause:
  - a) "Account of choice" - Account of choice is the option wherein BO/CM can transfer the securities from the BO/CM account to any account of the choice of the BO/CM. The BO/CM can select this option provided **easiest** is accessed through possessed objects.
  - b) "Beneficial owner(BO)" means a person whose name is recorded as such with a depository. The BO has expressed its interest in availing of the services provided by the DP using **easiest**. The BO has executed the Depository Services Agreement pursuant to which the BO owns, controls and operates accounts with CDSL through the DP or the BO/DP have been exempted from entering into such an agreement pursuant to the relevant provisions of CDSL bye laws. The BO and DP have agreed to record the terms and conditions mentioned forthwith upon which the BO may participate in **easiest**.
  - c) "Bye-laws" shall mean the Bye-laws of CDSL.
  - d) "CDSL" shall mean the Central Depository Services (India) Limited, a depository registered with the Securities and Exchange Board of India under the Depositories Act, 1996, of which the DP is a depository participant.
  - e) "Clearing Member" means a member of a stock exchange recognised under the Securities Contracts (Regulation) Act, 1956 who has obtained a certificate of registration from the Securities and Exchange Board of India under Section 12(1) of the Securities and Exchange Board of India Act, 1992 read with Regulation 6 of the Securities and Exchange Board of India (Stock Brokers and Sub-brokers) Regulations, 1992 or a Custodian of Securities who has obtained a certificate of registration from the Securities and Exchange Board of India under Section 12(1A) of the Securities and Exchange Board of India Act, 1992 read with Regulation 8 (3) of the Securities and Exchange Board of India (Custodian of Securities) Regulations, 1996 and who has an arrangement with a Clearing Corporation for settlement of trades in dematerialised form. The CM has expressed its interest in availing of the services provided by the DP using **easiest**. The CM has executed the Depository Services Agreement pursuant to which the CM owns, controls and operates accounts with CDSL through the DP or the CM/DP have been exempted from entering into such an agreement pursuant to the relevant provisions of CDSL byelaws. The CM and DP have agreed to record the terms and conditions mentioned forthwith upon which the CM may participate in **easiest**.
  - f) "Depository Participant"(DP) - The DP is a duly registered depository DP and is part of the CDSL's depository system. The DP has been registered with CDSL to offer **easiest**, a common infrastructure facility provided by CDSL, which enables BO/CMs to transfer securities through the Internet. The DP has agreed to receive and authenticate the instructions from BO/CM through Internet. The instructions processed through **easiest** will be processed only after the DP authenticates the same. The DP can authenticate each instruction one by one by accessing the DP admin module provided at **easiest** or the instructions can be authenticated by the DP using auto authentication flag, which the DP may allocate/mark for the BO/CM at the time of registration to **easiest** services.
  - g) "Depository Services Agreement" shall mean the "Agreement between the DP and the Person seeking to open an account with the DP" signed by the BO/CM at the time of opening the account.
  - h) "**easiest**" shall mean the Internet-based common infrastructure facility provided by CDSL, which is being used by the BO/CM for transactions which CDSL make available/permit from time to time.
  - i) "Operating Instructions": shall mean the procedural document issued by CDSL guiding BO/CM/DP while operating the CDSL system and **easiest**.
  - j) "PIN" shall mean the Personal Identification Number, either assigned to the BO or chosen by the BO pursuant to this Agreement, which may be necessary for the BO to access **easiest**.
  - k) "Possessed Object" shall mean a e-Token with a silicon chip or such other means capable of storing information and affixing digital signature which may be used by the BO/CM/DP for operating **easiest** services.
  - l) "Remembered Information" shall mean and include such confidential information such as password, PIN or such other information used for authentication of the BO/CM while making use of **easiest**, as may be generated by the BO/CM for access to **easiest**.
  - m) "Trusted Accounts" shall mean accounts of such type and number as to which CDSL may permit the BO to transfer securities from the BO's account, if the BO uses only Remembered Information for the purposes of accessing **easiest**.
  - n) "Working Day" shall mean all calendar days excluding Sundays and public holidays observed by CDSL as published by CDSL through communicate or at their website [www.cdslindia.com](http://www.cdslindia.com).
2. In this document, unless the context otherwise requires:
  - a) the singular includes the plural and vice versa;
  - b) words importing any gender include the other gender;
  - c) reference to any statute, ordinance or other law includes all regulations and other instruments and all consolidations, amendments, re-enactments or replacements for the time being in force;
  - d) all headings, bold typing and italics (if any) have been inserted for convenience of reference only and do not define limit or affect the meaning or interpretation of this document;
  - e) reference to a Recital, Schedule, Annexure or Exhibit is to a Recital, Schedule, Annexure or Exhibit of or to this document;
  - f) reference to a person includes a natural person, body corporate, unincorporated association, government or governmental, semi governmental or municipal entity; and
  - g) reference to a BO/CM/DP means a BO/CM/DP as defined under the definition clause of this document and includes that party's successors, legal personal representatives and permitted assignees.
3. The DP shall from time to time prescribe to the BO/CM the minimum specification of hardware and software that will be required by the BO/CM to access **easiest**. The DP represents that the DP has fully complied with all the technical and operational requirements prescribed for the DP by CDSL.
4. The BO/CM shall have an option of either having a Possessed Object for validation of the BO/CM's access to **easiest** or generating the BO/CM's own Remembered Information for validation of such access by the BO/CM. From time to time, the DP may prescribe to the BO/CM, in accordance with instructions received by the DP from CDSL, such measures as may be required to ensure the safety and security of the BO/CM's access to and usage of **easiest** and the BO/CM shall abide by the same.
5. The BO/CM agrees to take adequate safety measures for accessing **easiest**, including but not limited to:
  - a) In the event the BO/CM has opted for Remembered Information for access to **easiest**, the BO/CM shall take all the necessary steps to ensure confidentiality and secrecy of the BO/CM's Remembered Information used for authentication of the BO/CM and shall not reveal the same to any employee, agent or official of the DP or to any other person. The BO/CM acknowledges that failure to adhere to safety and security measures prescribed by CDSL and the DP could lead to a loss of confidentiality and secrecy of the Remembered Information, thereby exposing the BO/CM to the risk of financial losses.
  - b) In the event the BO/CM has opted for the Possessed Object, for the purpose of identifying the BO/CM in the process of authenticating the BO/CM's access to **easiest**, the BO/CM shall take all necessary steps to ensure that the Possessed Object is kept safely with itself and not to part with possession of the same to any third party. The BO/CM shall also ensure that the safety instructions issued for the usage of the Possessed Object are strictly adhered to.
  - c) The BO/CM acknowledges that in the interests of the security of the BO/CM's usage of **easiest**, if the BO/CM does not opt for Possessed Object as a means of authenticating the BO/CM's access to **easiest**, the BO/CM shall be entitled to transfer securities only to the Trusted Accounts. Further, the BO/CM shall submit to the DP an undertaking in the enclosed format from the holder/s of the trusted account.
  - d) The BO/CM acknowledges that all instructions received by the DP, authenticated pursuant to use of Remembered Information or Possessed Object shall be conclusive evidence of such instructions having been issued by the BO/CM and shall be attributed to the BO/CM. The DP shall not be held liable for acting on the instructions so received.
  - e) If the BO/CM has reason to believe that the confidentiality of the Remembered Information has been compromised or that the Possessed Object has been lost/misplaced/stolen, the BO/CM shall intimate the DP forthwith about such compromise or loss. The DP shall take best efforts to forthwith disable the BO/CM's access to **easiest** not later than one Working Day of receipt of such intimation from the BO/CM. However, if any instructions are received prior to such disablement, the DP shall not be liable for losses, if any, arising out of execution of such instructions.
6. In consideration of the access to **easiest**, the BO/CM/DP agrees to pay such charges as may prescribed by DP/CDSL. CDSL/DP shall reserve the right to revise the charges by giving the BO/CM adequate advance notice, in no event lesser than thirty (30) days, to be issued either in writing or through their websites.
7. The BO/CM agrees that in the event of the BO/CM desiring to operate any joint account through **easiest**, it shall be a condition precedent for usage of **easiest** that all the joint holders shall sign the **easiest** registration form, the Remembered Information for access to **easiest** will be issued to the Email ID mentioned in the **easiest** registration form, favouring any one individual who shall be deemed to be the user of the Remembered Information or the Possessed Object for access to **easiest** will be issued favouring any one individual who shall be deemed to be the user of the Possessed Object for access to **easiest** and issue of instructions through **easiest**. The BO/CM hereby ratifies and upholds all such instructions issued through **easiest** using such Remembered Information or Possessed Object, as the case may be. The usage of and access to **easiest** using the Remembered Information or Possessed Object, as the case may be, shall be deemed to have been made by the BO/CM at the time of such access and use.
8. The BO/CM shall be entitled to change the Remembered Information on **easiest** from time to time.
9. The BO/CM is aware that the transaction through **easiest** will be processed only after the DP authenticates the same. The authentication may be manual authentication i.e. authentication of each instruction one by one or auto authentication, which the DP may allocate/mark for the BO/CM at the time of registration to **easiest** services. The DPs agree that in case they have set manual authentication for any BO/CM they will verify the receipt of any authentication instruction request daily before the expiry of dead line time mentioned at **easiest** and in the operating instructions for the **easiest**.
10. The DP has an authority to authenticate/ reject the transactions. The rejection of transaction by the DP will be intimated to the BO/CM through the **easiest** login of the BO/CM. The BO/CM can view the status of the transactions through the **easiest** login.
11. The BO/CM shall enter all its transactions instructions at **easiest** in a timely manner to ensure that the same are capable of being received by the DP for authentication. The different type of instructions i.e. Off Market, Settlement, Inter Depository, Early payin shall be entered by the BO/CM before the specified time mentioned against the particular instructions at **easiest**.

or Possessed Objects, including without limitation the use of biometrics and such other methods as would validate the identity of the BO/CM for access to the **easiest** system. The BO/CM agrees that a condition precedent for the BO/CM's access to **easiest** is that the BO/CM shall provide such information, data, and access to its representatives and personnel, as may be designated by the BO/CM as the users of the **easiest** system in order to enable DP to generate such biometric criteria or other criteria in accordance with the security measures prescribed by DP, in accordance with instructions received by the DP from CDSL.

21. The DP may withdraw the access of the BO/CM to **easiest** at any time provided a notice of at least thirty (30) days is given to the BO/CM. The DP may suspend or terminate the service without prior notice if the BO/CM has breached any of the terms and conditions of this document or the Depository Services Agreement, or if the DP learns of the death, bankruptcy, lunacy or loss of legal capacity of the BO/CM.
22. BO/CM/DP are aware that CDSL would be applying / downloading / copying the digital certificate issued by TCS Ltd. Certifying Authority before making it available for the use of BO/CM/DP. The digital certificate issued by TCS Ltd. Through CDSL - Registration Authority would be utilised at the software application prescribed by CDSL. BO/CM/DP agree to the above-mentioned procedure and do not have any objection for the same.
23. Any dispute or difference between the Parties shall be resolved solely by means of reference to binding arbitration under the arbitration mechanism as provided in the Bye-Laws.
24. The above terms and conditions are in addition to and do not undermine in any manner whatsoever the terms and conditions forming a part of the Depository Services Agreement.

SIGNED & DELIVERED

By the within Named the  
Beneficial Owner



SIGNED & DELIVERED

By the within Named the Participant

**Clankit** Assignments Ltd.

## ANNEXURE - C

**(Draft undertaking to be given on the Letterhead of the Clearing Member)**

Date:

Name of the client

Address

Depository Account No.

Dear Sir / Madam,

We acknowledge your request to nominate our depository account as a trusted account for the purpose of receiving credit from your account. We have no objection for the same.

We agree that if any securities are moved to our account which are not due to us for any reasons including but not limited to an error or fraud, we undertake to immediately return the securities to you. The details of our account are as under:

[illegible]

Yours faithfully,

(Authorised Signatories)

To be signed by the Clearing Member

/ We hereby nominate the above account holder as our pre-notified account where securities can be credited from my / our account using the common infrastructure through Internet.

(Authorised Signatories)



Serial No. ....

**Alankit** ASSIGNMENTS LIMITED

**DEPOSITORY DIVISION**

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING)MANDATE FORM

1. D.P. A/c. No.

2. Name :

3. Bank Name :

a) Branch Name :

b) 9-Digit MICR Code\* :

c) Account Type ☐ S.B.A/c.(Code 10) ☐ Current A/c (Code 11)  
☐ Cash Credit (Code 13)

D) Ledger No./Folio No. ....

Account No. ....

\*Appearing on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a blank cancelled cheque Issued by your bank for verifying the accuracy of the Code Number)

I, hereby, declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information. I would not hold the user institution responsible. I hereby agree to discharge the responsibility expected of me as a participant under the scheme.

Date : \_\_\_\_\_ Signature of the applicant(s)

**BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).**  
Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : \_\_\_\_\_ Signature of the authorised bank official

**AUTHORISATION TO BANK FOR DEBITING THE ACCOUNT**

To,  
The Branch Manager

Dear Sir,  
I/We \_\_\_\_\_ residing at

maintain a \_\_\_\_\_ Account No. \_\_\_\_\_  
with your branch.

I/We hereby authorised the Bank to debit all types of commission / fees ('Service Charges') payable by me / us and charged by Alankit Assignments Ltd. through the ECS (Debit Clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED at \_\_\_\_\_ (Place ), this \_\_\_\_\_ (day),

of \_\_\_\_\_ (month), \_\_\_\_\_ (Year)

Signature \_\_\_\_\_ Name \_\_\_\_\_

Signature \_\_\_\_\_ Name \_\_\_\_\_

Signature \_\_\_\_\_ Name \_\_\_\_\_

(A/c holder's Signature should be the same as in bank A/c. In case of joint account both the holder must sign the mandate form)

------(Please Tear Here)-----

Serial No. ....

**Alankit** ASSIGNMENTS LIMITED

**DEPOSITORY DIVISION**

ELECTRONIC CLEARING SERVICE (DEBIT CLEARING)MANDATE FORM

1. D.P. A/c. No.

2. Name :

3. Bank Name :

a) Branch Name :

b) 9-Digit MICR Code\* :

c) Account Type ☐ S.B.A/c.(Code 10) ☐ Current A/c (Code 11)  
☐ Cash Credit (Code 13)

D) Ledger No./Folio No. ....

Account No. ....

\*Appearing on the MICR Cheque issued by the Bank (Please attach the Photocopy of a Cheque or a blank cancelled cheque Issued by your bank for verifying the accuracy of the Code Number)

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Date : \_\_\_\_\_ Signature of the applicant(s)

**BANK CERTIFICATION (Not required if photocopy of the cheque is enclosed).**  
Certified that the particulars furnished above are correct as per our records.

Bank's Stamp :

Date : \_\_\_\_\_ Signature of the authorised bank official

**AUTHORISATION TO BANK FOR DEBITING THE ACCOUNT**

To,  
The Branch Manager

Dear Sir,  
I/We \_\_\_\_\_ residing at

maintain a \_\_\_\_\_ Account No. \_\_\_\_\_  
with your branch.

I/We hereby authorised the Bank to debit all types of commission / fees ('Service Charges') payable by me / us and charged by Alankit Assignments Ltd. through the ECS (Debit Clearing) facility to my bank account with you. I/We undertake the sufficient balances shall be maintained by me/us, so that the right of the Bank to debit the Service Charges is not impaired. I/We hereby undertake not to revoke this authority without the written approval from the Bank.

SIGNED at \_\_\_\_\_ (Place ), this \_\_\_\_\_ (day),

of \_\_\_\_\_ (month), \_\_\_\_\_ (Year)

Signature \_\_\_\_\_ Name \_\_\_\_\_

Signature \_\_\_\_\_ Name \_\_\_\_\_

Signature \_\_\_\_\_ Name \_\_\_\_\_

(A/c holder's Signature should be the same as in bank A/c. In case of joint account both the holder must sign the mandate form)



# PROCESS SHEET

Alankit

NAME \_\_\_\_\_  
 SUBDP / BRANCH \_\_\_\_\_  
 CLIENT ID \_\_\_\_\_

## INDIVIDUAL

Documents	Specify Documents Obtained	Deviation
Pan ( <b>Compulsory</b> )		
If verified from Web		
If verified from Original		
Inperson verification		
If done by whom (Give Name):		
<b>A. LOCAL</b>		
Proof of Address ( <b>Compulsory</b> )		
If verified from Original		
<b>B. CORRESPONDENCE</b>		
if verified from Original		
If Disabled default indicator for local address	Yes / No	
Cancel Cheque - If Obtained		
ECS Mandate - If Obtained		
Photo (Signed Across)		
Charge Schedule - Specify Scheme		
Nominee Photo (Signed Across)		
Nominee Signature		
Witnessed for Nomination		
Witness - Agreement		
- Nomination		
Bank detail with Proof ( <b>Compulsory</b> )		
For Account Opened after 12-11-2007	Yes / No	
Annexure for Financial Status Obtained		

Documents Checked by \_\_\_\_\_ Date \_\_\_\_\_  
 Form Captured by \_\_\_\_\_ Date \_\_\_\_\_  
 PAN verified by \_\_\_\_\_ Date \_\_\_\_\_  
 Form verified by \_\_\_\_\_ Date \_\_\_\_\_  
 Audit by \_\_\_\_\_ Date \_\_\_\_\_  
 Feeding checked by \_\_\_\_\_ Date \_\_\_\_\_  
 NRN No. \_\_\_\_\_ By \_\_\_\_\_  
 AA Debited in NSE Code \_\_\_\_\_ By \_\_\_\_\_  
 Scheme checked \_\_\_\_\_ By \_\_\_\_\_  
 Sub DP Checked \_\_\_\_\_ By \_\_\_\_\_  
 Form Completed \_\_\_\_\_ By \_\_\_\_\_  
 ECS Completed \_\_\_\_\_ By \_\_\_\_\_  
 Tallied with Activation Report \_\_\_\_\_ By \_\_\_\_\_



## SUPPLIMENTARY CHECK LIST FOR BANK STATEMENT AS POA-INDIVIDUAL ACCOUNTS

### ORIGINAL BANK STATEMENT

	Yes	No
1. Computer Generated		
2. Printed on stationery of Bank		
3. Carrying Logo of Bank		
4. Carrying Name of Bank		
5. Carrying Address of Bank		
6. Transactions / Holdings of Last Quarter Ending		
7. Cancel Cheque in Original (Photostate not Acceptable)		
8. Signatures of All Holders / Applicants on Statement		

### BANK STATEMENT ON PLAIN PAPER

	Yes	No
1. Computer Generated		
2. Carrying Name of Bank		
3. Carrying Address of Bank		
4. Transactions / Holdings of Last Quarter Ending		
5. Signed and Stamped with : Name of Bank Official Designation of Bank Official		
6. Cancel Cheque in Original (Photostate not Acceptable)		
7. Signatures of All Holders / Applicants on Statement		

Documents Checked by \_\_\_\_\_ Date \_\_\_\_\_

Form Captured by \_\_\_\_\_ Date \_\_\_\_\_

Form verified by \_\_\_\_\_ Date \_\_\_\_\_

Audit by(At HO) \_\_\_\_\_ Date \_\_\_\_\_



Health & Wealth, We Manage Both

**Alankit** ASSIGNMENTS LIMITED

Regd. Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055

[www.alankit.com](http://www.alankit.com)