



Health & Wealth, We Manage Both

**NSDL** **CDSL** **NSE** **BSE** **MCX-SX** **NCDEX** **DGCX** **MCX** **NMCE** **NCDEX-SPOT** **IEX** **NSEL**

SHARES

COMMODITY

TIN

E-RETURN

DP

UID

RTA

PMS

TPA

HEALTH CARE

INSURANCE

IPO

INVESTMENT  
ADVISORY

REGISTRAR

# Alankit ASSIGNMENTS LIMITED

## Demat A/c Opening Form with **CDSL**

NAME : .....

.....

.....

ACCOUNT NO. : .....

### Corporate Office

'Alankit House', 2E/21, Jhandewalan  
Extension, New Delhi -110 055  
Tel. : 91-11- 42541234, 23541234,  
Fax : 91-11-23552001, 42541201  
Website : www.alankit.com

### Depository Division

1E/13, Jhandewalan Extension  
New Delhi - 110 055  
Ph. : 91-11-42541872 to 876



# ASSIGNMENTS LIMITE

## Know Your Client (KYC) Application Form (For Individuals)

Annexure 1

### A. IDENTITY DETAILS

Photograph

Name Of The Applicant

Father / Husband Name

Gender

Male

Female

Marital Status

Single

Married

Date of Birth

Nationality

Status

PAN

Unique Identification

Number (UID) / Aadhar, if any

Specify the proof of Identity  
Submitted

---

### B. ADDRESS DETAILS

Correspondence Address

**City/ District/ Village**

**Pin Code**

**State**

**Country**

**Contact Details**

**Tel. (Off.)**

**Tel. (Res)**

**Mobile**

**Fax**

**Email ID**

**Specify the proof of address  
submitted for  
Correspondence Address**

**Permanent Address (if  
different from  
Correspondence address)**

**City/ District/ Village**

**Pin Code**

**State**

**Country**

**Specify the proof of address  
submitted for  
Permanent Address**

---

**C. OTHER DETAILS**

**Gross Annual Income Details (Please Specify)**

**Income Rs(Per annum)**

**Net-worth as on**

**Date**

(Net worth should not be older than 1 year)

**Occupation (Please Specify)**

**Please Specify, As Applicable**

**Any other information**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

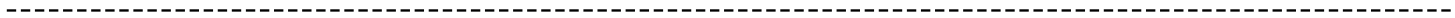
**Signature of the Applicant**

**Name**

**Signature**

(Signatures should be preferably in black ink)

**Date**



**FOR OFFICE USE ONLY**

**(Originals verified) True copies of documents received**

**(Self-Attested) Self Certified Document copies received**

(.....)  
Signature of the Authorised Signatory

**Seal/Stamp of the intermediary**

**Date**

# Additional KYC Form for Opening a Demat Account Alankit Assignment Limited

## Annexure 3

Serial No.

Receipt No. :.....

Cash / Cheque No.....

Rs.....

OPENED BY.....

VERIFIED BY.....

Application No.

Date

DP Internal Reference No.

DP ID

Client ID

### Holder's Details

Sole / First Holder's Name

PAN

Second Holder's Name

PAN

Third Holder's Name

PAN

Name\*

\* In case of firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above

**Type of Account (Please tick whichever is applicable)**

**Status**

**Sub-status for individual**

**Sub-status for NRI**

**Sub-status for NRI**

**Details of Guardian (In case the account holder is minor)**

**Guardian's Name**

**PAN**

**Relationship with the applicant**

I/We instruct the DP to receive each and every credit in my / our account (if not marked, the default option would be 'Yes')

Automatic Credit

Yes

No

**Account Statement Requirement**

I/We request you to send Electronic Transaction-cum-Holding statement at the Email ID \_\_\_\_\_

Yes

No

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?

(If not marked, the default option would be 'Yes')

[ECS is mandatory for locations notified by SEBI from time to time]

Yes

No

**Bank Details ( Dividend Bank details)**

**Bank Account Type**

**Bank Account Number**

**Bank Name**

**IFSC Code**

**MICR Number**

**Branch Address**

**City/ District/ Village**

**Pin Code**

**State**

**Country**

- (I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank. In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

**SMS Alert Facility ( Mobile no.)**

### **Nomination Details**

**Nomination Registration No.**

**Date**

I / We the sole holder / Joint holder / Guardian (in case of minor) hereby declare that :

**I / We the sole holder / Joint holder / Guardian (in case of minor) hereby declare that :**

**I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.**

**Name of the Nominee**

**Relationship with the Nominee**

**PAN of Nominee**

**D.O.B.**

**Address**

**Pin Code**

**City**

**Country**

**State**

**Phone No**

**If Nominee is a minor, details of guardian:**

**Name of the Guardian**

**Address**

**Pin Code**

**City**

**Country**

**State**

**Phone No**

**Signature of Guardian**

**WITNESSES (Only applicable in case the account holder has made nomination)**

**Witness 1**

**Name**

**Address**

**Signature of Witness**

**Witness 1**

**Name**

**Address**

**Signature of Witness**



I/We have read the terms & conditions DP-Bo agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/we agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me /us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

**First/Sole Holder Name**

**Signature**

**Second Holder Name**

**Signature**

**Third Holder Name**

**Signature**

**Instructions for applications :**

1. If the Clearing member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.
2. Signatures can be in English, Hindi or any of the other languages contained in the VIIIth schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
3. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special executive Magistrate are to be provided in case of any attestation done by them.
4. In case of additional signatures, separate annexures should be attached to the application form.

5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly not arised copy thereof, Name of the POA, Signature of the POA must be lodged with the DP alongwith the application
6. All correspondence/queries shall be addressed to the First / Sole Applicant only.
7. Suffix refers to Mr. X Junior or Mr. X Senior or Lord Y I or Lord Y II etc.
8. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Strike off whichever is not application.
10. The Following documents are to be submitted by the Investors :  
| The Introduction may not be required if the certified copies of any one of the following document is submitted by the BO for deterring the intending BO bonafied: Photocopy of Election ID Card /Passport /Ration Card| Date of Birth Certificate in case of Minors. | Proof of NRI Status. | Copy of RBI Approval for NRIs | One passport size photograph of each Account Holder.

-----**(Please Tear Here)**-----

(To be filled by the Depository Participant)

### **Acknowledgement Receipt**

**Application No.**

**Date**

We hereby acknowledge the receipt of the Account Opening Application Form:

**Sole/First Applicant Name**

**Second Applicant Name**

**Third Applicant Name**

**Depository Participant Seal & Signature**

### **ANNEXURE - A Declaration of HUF status**

**Alankit**

**ASSIGNMENT LTD**

As I/We wish to open a DP account with you in my capacity as karta of HUF of \_\_\_\_\_ I/we beg to say that the first signatory to this letter , i.e \_\_\_\_\_ is the karta of the joint Family and other signatories are the adult co- parceners of said family.

I/We further confirm that the business of said joint family is carried on mainly by the said karta as also by the other signatories hereto in the interest and for the benefit of entire body of co-parcener of the joint family. In view of the fact that ours is not firm governed by the Indian Partnership Act of 1932, we have not got our said firm registered under the said Act. I/We hereby undertake to inform you the death or birth of a co-parceners or of any change occurring at any time in the membership of our joint family during the currency of the account.

**Name of Karta**

**Signature**

**Adult Co-parceners 1**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**Adult Co-parceners 2**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**Adult Co-parceners 3**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**Minor Co-parceners 1**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**Minor Co-parceners 2**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**Minor Co-parceners 3**

**Name**

**Date of Birth**

**Sex**

**Relationship With Karta**

**Signature**

**ANNEXURE - B**  
DECLARATION OF NRI STATUS



**ASSIGNMENT LTD**

1. PASSPORT DETAILS

- Number \_\_\_\_\_ • Date of Issue \_\_\_\_\_ •
- Date of Expiry \_\_\_\_\_
- Place of Issue \_\_\_\_\_ • Issuing Authority \_\_\_\_\_

2. NATIONALITY \_\_\_\_\_ 3. COUNTRY OF ORIGIN \_\_\_\_\_

4. LOCAL ADDRESS \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. PURPOSE OF STAY ABROAD \_\_\_\_\_

I declare that I am a Non-Resident Indian residing at \_\_\_\_\_  
(City / Country of stay) and Citizen of \_\_\_\_\_

(Name of Country) for the purpose of Foreign Exchange Regulation Act 1973/ Foreign Exchange Management Act 2000 and shall immediately inform you in the event of any change in my address, citizenship and /or residency or other status.

I/We understand that the above account will be opened on the basis of the statements/ declarations made by me/us, and I/We also agree that if any of the statements/ declarations made herein is found to be not correct in material particulars you are not bound to allow the facility.

I/We would confirm that all debits to my/our accounts for the purpose of investment in India and credits of investments in India are covered either by general or special permission of RBI. I will ensure that investments in shares / securities or in India out of funds held in my / our account with you are governed by respective regulations of Reserve Bank of India.

Applicant signature :

Encl. : Photocopy of Passport (Countersigned by applicant)

## CHECKLIST (NRI) - HOLDING STATUS

### In case already holding securities :

- (a) In the account opening form, RBI reference no. and approval date is to be quote. Photocopy of the same be provided.
- (b) In case NRI has never acquired any holding or securities from secondary market in the relevant column he should write
  - (i) In the column of Reference No.: "**No holdings**" (ii) In the column of Approval Date : "**Present Date**"
- (c) In case NRI has acquired securities through allotment in primary market i.e. direct investment scheme the necessary approval would have been obtained by the issuer company, under such cases he should write
  - (i) In the column of RBI Reference No.: "**Under General Permission**" (ii) In the column of RBI Approval Date : "**Present Date**"

# KNOW YOUR CLIENT (KYC) APPLICATION FORM

(For Non-Individuals)



ASSIGNMENT LTD

## A. IDENTITY DETAILS

Photograph

Name Of The Applicant

Date of Incorporation

Date of commencement of  
business

Place of incorporation

PAN

Registration No. (e.g. CIN)

Status (Please tick any one)

---

## B. ADDRESS DETAILS

Correspondence Address

City/ District/ Village

Pin Code

State

Country

**Tel. (Off.)**

**Tel. (Res)**

**Mobile**

**Fax**

**Email ID**

**Specify the proof of address  
submitted for  
Correspondence Address**

**Registered Address (If  
Different from above)**

**City/ District/ Village**

**Pin Code**

**State**

**Country**

**Specify the proof of address  
submitted for  
Permanent Address**

---

## **C. OTHER DETAILS**

**Gross Annual Income Details (Please Specify)**

**Income Rs**

**Net-worth as on**

**Date**

(Net worth should not be older than 1 year)

**Name, PAN, residential address and photographs of Promoters/Partners/ Karta/Trustees and whole time directors**

**DIN / DPIN / UID of Promoters/Partners/LLP Partners and whole time directors:**

**Please Specify, As Applicable**

---



## D. DECLARATION

I / we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I / we may be held liable for it.

Name & Signature of the Authorised Signatory(ies)

Date

### FOR OFFICE USE ONLY

**(Originals verified) True copies of documents received**

**(Self-Attested) Self Certified Document copies received**

**Signature of the Authorised Signatory**

Date

**Seal/Stamp of the Intermediary**

**Additional KYC Form for Opening a Demat Account**  
(For entities other than Individuals)



**ASSIGNMENT LTD**

Serial No.  
Receipt No. :.....  
Cash / Cheque No.....  
Rs.....

OPENED BY.....  
VERIFIED BY.....

Application No.

Date

DP Internal Reference No.

DP ID

Client ID

**Holder's Details**

**Sole / First Holder's Name**

PAN

**Second Holder's Name**

PAN

**Third Holder's Name**

PAN

**Name\***

\* In case of firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., Should be mentioned above

**Type of Account (Please tick whichever is applicable)**

Status

**Sub-status to be filled by the DP**

SEBI Registration No.  
(If Applicable)

Date

SEBI Registration No.  
(If Applicable)

Date

Nationality

I/We instruct the DP to receive each and every credit in my / our account (if not marked, the default option would be 'Yes')

Automatic Credit

Yes

No

Account Statement Requirement

I/We request you to send Electronic Transaction-cum-Holding statement at the Email ID \_\_\_\_\_

Yes

No

Do you wish to receive dividend / interest directly in to your bank account given below through ECS?  
(If not marked, the default option would be 'Yes')  
[ECS is mandatory for locations notified by SEBI from time to time]

Yes

No

**Clearing Member Details (To be filled by Cms only)**

Name of Stock Exchange

Name of CC/CH

Clearing Member ID

Trading member ID

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes')[ECS is mandatory for locations notified by SEBI from time to time]

Yes

No

**AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO OPEN A BENEFICIAL OWNER'S ACCOUNT**

This Agreement made and entered into at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ Two Thousand \_\_\_\_\_ between Alankit Assignments Limited, having its registered office at 205 - 208, Anarkali Complex, Jhandewalan Extn., New Delhi - 110055 and situated at \_\_\_\_\_ hereinafter called "the Participant" of the One Part; AND \_\_\_\_\_ ha ving his/its office/ registered office/address at \_\_\_\_\_

hereinafter called " the Beneficial Owner" of the Other Part. WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded herein below :

NOW THIS AGREEMENT WITNESSTH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS

**General Clause**

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI ( Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement .

**Fees, Charges and deposits**

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid (not exceeding 18%) per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

**Statement of Account**

3.1 The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each 1 (quarter). The statement of accounts to be provided to the Beneficial Owner under this Agreement shall be in the form specified in Operating Instructions or as communicated by CDSL from time to time. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

3.2 It is agreed that if a Participant is providing the services of issuing the statement of accounts in an electronic mode and if the Beneficial Owner is desirous of availing such services from the Participant, then the Participant will furnish to the Beneficial Owner the statement of accounts under its digital signature, as governed under the Information Technology Act, 2000. Provided however that in the event of the Beneficial Owner requiring a physical copy of the statement of accounts, despite receiving the same in the electronic mode, then irrespective of having forwarded the same via electronic mode, the Participant shall be obliged to provide a physical copy thereof to the BO.

3.3 It is agreed and understood between the parties that if the Participant does not have the facility of providing the statement of account in the electronic mode, then the Participant shall be obliged to forward the statement of account only in physical form.

**Beneficial Owner shall intimate change of particulars**

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

**Depository not liable for claims against Beneficial Owner**

5. CDSL shall not be liable to the Beneficial Owner an any manner towards losses, liabilities and/or expenses arising from the claims of third parties or third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

**Authorised Representative**

6. Where the beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations there to shall be forthwith

communicated to the Participant.

**Termination**

7. The parties may at any time terminate this agreement, provided that a notice of not less than thirty days is given in the manner and on the terms and conditions and procedure set out in the Bye Laws and the Operating Instructions. In the event of termination by either party, the Participant shall deal with the securities lying in the account of a Beneficial Owner in accordance with the instructions of such Beneficial Owner.

**Stamp Duty**

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, documents or writing executed in pursuance hereof between the parties hereto shall be borne and paid by te beneficial owner.

**Force Majeure**

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lighting, earthquake, fire, blast, explosion or any act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

**Service of Notice**

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgment of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

**Arbitration**

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliations specified under the Bye Laws.

**Jurisdiction**

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in Delhi.

**Governing Law**

13. The Agreement shall be governed by the construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands / seats to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

By the withinnamed the Participant M/s *Alankit* Assignments limited

By the hand of its authorised Representative Shri \_\_\_\_\_

In the presence of \_\_\_\_\_

SIGNED AND DELIVERED

By the withinnamed the Beneficial Owner

⊗ \_\_\_\_\_ In the presence of \_\_\_\_\_

# Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)

Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234

Fax : 91-11-41543453, 41543445

E-mail : dp@alankit.com, info@alankit.com

101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001

Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,

E-mail : alankitjpr@alankit.com

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha,

Bareilly-243001 Tel.: 0581-2551164, 2551086-88

Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

14100

14101

14102

## CHARGE SCHEDULE FOR A INDIVIDUAL & CORPORATE ACCOUNT (FOR CDSL)

W.E.F. 1-12-2006

Nature of Service	Charges for Individual Account	Charges for Corporate Account
Annual Account Maintenance Charges	Rs 300/- per financial year (1st April to 31st March) A. Annual account maintenance charges for clients availing easiest facility of CDSL for debit instruction through Internet. B. For e-Token user Rs. 1500/- per annum extra. C. For pass word users Rs 250/- per annum extra.	Rs. 750/-per financial year (1st April to 31st March) A. Annual account maintenance charges for clients availing easiest facility of CDSL for debit instruction through Internet. B. For e-Token user Rs. 1500/- per annum extra. C. For pass word users Rs 250/- per annum extra.
Custody Charges	Nil	NIL
Dematerialization	Rs 20.00 plus Rs 3.00 per certificate subject to maximum of Rs 250.00	Rs 20.00 plus Rs 3.00 per certificate subject to maximum of Rs 250.00
Transaction Charges		
Delivery	Rs.16/- per debit instruction	Delivery Rs. 11/- per debit instruction
Receipts	NIL	NIL
Rejection Charges	Rs 20/- per failed instruction.	Rs 10/- per failed instruction.
Pledge Acceptance (By Pledgor)	Rs 25/-	Rs 25/-
Unpledge Acceptance (By Pledgor)	Rs 25/-	Rs 25/-
Pledge Invocation Acceptance (By Pledgee)	Rs 25/-	Rs 25/-
Rematerialisation	A. Rs. 20/- for every hundred securities or part thereof, or B. Rs. 20/- per certificate <b>(Whichever is higher)</b>	A. Rs. 20/- for every hundred securities or part thereof, or B. Rs. 20/- per certificate <b>(Whichever is higher)</b>
Delivery instruction book	Rs 40/ per book	Rs 40/ per book

Any service not listed above will be charged for extra.

No refund of annual charges, whenever the account is closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on ro within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs.500/- shall be maintained as advance towards future charges.
- In case of foreign correspondence address, in addition to annual account maintenance charges, statement/communication charges @ Rs. 50/- per mail shall be charged extra.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- In case of any upward revision in schedule of charges, 30 days notice would be given by publication in newspaper/post.
- In case of demat rejection postage shall be charged @ Rs. 30/- for dispatch to the client for removal of objection.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory

⊗

First Holder

⊗

Second Holder

⊗

Third Holder

## DP-BO AGREEMENT FOR COMMODITIES

Agreement between the DEPOSITORY PARTICIPANT (entity registered as Depository Participant of Central Depository Services (India) Limited ("CDSL") with Securities And Exchange Board of India (SEBI) under SEBI (Depositories & Participants) Regulation 1996 for the purpose of holding and transacting in electronic warehouse receipts.

This agreement made and entered into this \_\_\_\_\_ day of \_\_\_\_\_ between \_\_\_\_\_  
Situated at \_\_\_\_\_

(Hereinafter called the "BO") and  
**Alankit Assignments Limited** situated at 1E/13, Ground Floor, Jhandewalan Extn., New Delhi - 110 055 (hereinafter called the "Depository Participant" or "DP").

### WITNESSETH

WHEREAS the Depository Participant is registered as participant in the Central Depository Services (India) Limited. ("CDSL"), a duly registered depository under the Depositories Act, 1996.

WHEREAS the BO has furnished to the Depository Participant the duly filled in application form as prescribed by CDSL requesting therein the Depository Participant to open an account with the Depository Participant; and Depository Participant has represented to the BO that it has set up facility to extend depository services offered by CDSL to warehouse receipts.

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the Agreement hereby covenant and agree as follows:

1. The BO shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the BO and for rendering such other services as may be agreed to from time to time between the Depository Participant and the BO as set out in SCHEDULE A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the BO. Depository Participant shall not levy account closure charges if BO choose to close the account.
2. The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipts, if any, held in dematerialised form and shall not commingle the same with the warehouse receipts held in dematerialised form by the BO.
3. The Depository Participant undertakes that a transfer to and from the accounts of the BO shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the BO and that the Depository Participant shall maintain adequate audit trail of such authorization.
4. The Depository Participant agrees that the BO may give written standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
5. The Depository Participant undertakes to provide a transaction statement to the BO atleast at monthly intervals unless the Depository Participant and the BO have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the BO atleast once a quarter.
6. The Depository Participant undertakes to resolve all legitimate grievances of the BO against the Depository Participant within a period of thirty days.
7. The BO agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in Schedule 'A'. However, if the BO gets any erroneous credit of securities / WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
8. The BO may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic warehouse receipt. The BO acknowledges that neither the Depository Participant nor CDSL shall be responsible for delivery of the commodities against the electronic warehouse receipts, whether on grounds of quantity, quality, timeliness of the delivery or otherwise. The BO hereby indemnifies and agrees to keep indemnified and hold the Depository Participant the CDSL harmless from and against any loss, claim, damage, injury or the cost and expense relating to delivery of the commodity underlying the warehouse receipt.
9. The charges related to the warehousing service shall be settled in accordance with the arrangement agreed to by the Client, Depository Participant and warehouse without any recourse to CDSL.
10. On the failure of the BO to pay the charges for provision of services by the DP as laid out in clause (1) of this Agreement within a period of fifteen days from the date of demand, Depository Participant may terminate this Agreement and close the account of the BO by requiring it to specify whether the balances in its account be transferred to the account of the BO held with another Depository Participant or send a delivery advise to related warehouse.
11. The BO further agrees that in the event of the BO committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement within a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, The Depository Participant may charge interest at a rate not exceeding 15%. In case the BO has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client. If the BO does not make the payment for more than two months, Depository Participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7days notice to the Client. The DP shall always be deemed to have had a first charge and lien over the warehouse receipts in the account of the BO towards recovery of amounts due under this Agreement.
12. The Depository Participant shall have the right to terminate this Agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the

Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this Agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.

13. In the event of Depository Participant being suspended or terminated by CDSL / SEBI in terms of Bye Laws/ Operating Instruction of CDSL or SEBI (Depositories & Participants) Regulation, 1996 Depository Participant / CDSL may give a notice of not less than 30 days of such suspension / termination to the Client. In such an event, the BO shall specify whether the balances in its account should be transferred to another account of the BO held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advise against electronic warehouse receipts within a period of three days.
14. The BO shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form. The BO may exercise the right to freeze his account maintained with the Depository Participant so as to lock the electronic warehouse receipts held with the Depository Participant. The BO may exercise the right to un-freeze his account maintained with the Depository Participant. Such freezing the un-freezing of the account shall be in accordance with the procedure prescribed in this regard by CDSL.
15. The BO shall notify the Depository Participant within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
16. The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the CDSL from time to time.
17. The Depository Participant shall have liable to the BO in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to Client's account.
18. Depository Participant shall discharge all its obligations to the BO as provided by SEBI / any other regulatory authority and / or those guidelines / instructions issued by CDSL from time to time and in force at the time of entering into this Agreement and as may be amended as if such BO were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this Agreement.
19. All claims, differences and disputes between Depository Participants and Clients arising out of or in relation to dealings on the CDSL Depository including any agreements, contracts and transactions made subject to this Agreement or with reference to anything incidental thereto or in pursuance thereof or relation to their validity, construction, interpretation, fulfillment or the right, obligations and liabilities of the parties thereto and including any question whether such dealings, transactions, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the CDSL, Bye-Laws, Operating Instruction for disputes between DP and clients in securities transactions shall be applicable to disputes arising out of and in connection with this Agreement, as if the same have been incorporated here in below and formed part of this agreement.

IN WITNESS WHEREOF the BO and the Depository Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by \_\_\_\_\_

For and on behalf of the Client

Signed and delivered by \_\_\_\_\_

For and on behalf of the Client

WITNESS

WITNESS

# Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)  
 Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234  
 Fax : 91-11-41543453, 41543445  
 E-mail : dp@alankit.com, info@alankit.com  
 101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001  
 Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,  
 E-mail : alankitjpr@alankit.com  
 Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha,  
 Bareilly-243001 Tel.: 0581-2551164, 2551086-88  
 Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

14100

14101

14102

## CHARGE SCHEDULE FOR INDIVIDUAL ACCOUNT (COMMODITY) FOR CDSL CD-FR-22

Annual Account Maintenance Charges	Rs. 300/- per financial year (1st April to 31st March)		
Maximum Commodity Holding (MCH) in the account (To be filled in by the Client)	Rs. _____		
Permanent Deposit	An amount equal to 0.5 % of the MCH will be maintained with us on an ongoing basis as an interest free deposit towards Future Warehouse charges. This amount will be released at the time of Account closure.		
Commodity Holding Charges (Per Month)	MCH upto Rs. 20 Lakhs MCH between 20 Lakhs and 50 Lakhs MCH more than Rs. 50 Lakhs	0.04% 0.03% 0.02%	On the average value of commodity Held in the account in tat calender month.*
Transaction Charges	Delivery Rs. 19/- per debit instruction NIL		
Rejection Charges	Rs 20/- per failed instruction.		
Pledge Acceptance (By Pledgor)	Rs 25/-		
Unpledge Acceptance (By Pledgor)	Rs 25/-		
Pledge Invocation Acceptance (By Pledgee)	Rs 25/-		
Rematerialisation	Rs. 75/- per instruction		
Delivery Instruction Book Charges	Rs. 40/- per book containing 20 leaves		
Warehouse / RTA / NCDEX charges	Will be payable at actual as charged by the exchange / warehouse		

Any Service Not Listed Above Will Be Charged For Extra.

No Refund of Annual Charges, whenever the Account Is Closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on or within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs. 500/- shall be maintained as advance towards future charges.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- **\*Average value of commodity held during the month will be calculated by multiplying by average daily quantities held in the account for a month by the closing price of the commodity on the clearing day of the month.**
- Charges / Services standards are subject to revision at Depository Participants sole discretion whenever the charges are revised by Depository, 30 days notice would be given by publication in newspaper/post.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory

⊗

\_\_\_\_\_  
First Holder

⊗

\_\_\_\_\_  
Second Holder

⊗

\_\_\_\_\_  
Third Holder

# Alankit ASSIGNMENTS LIMITED

1E/13, Ground Floor, Jhandewalan Extn., New Delhi-110055 (INDIA)  
Tel. : 91-11- 42541234, 42541795-98, 42541827-28, 23541234  
Fax : 91-11-41543453, 41543445

14100

E-mail : dp@alankit.com, info@alankit.com  
101-104, Luhadia Tower, Ashok Marg 'C' Scheme, Jaipur-302001  
Tel.: 0141-2374531-34, 2729033-35 Fax : 2374535,  
E-mail : alankitjpr@alankit.com

14101

Lata Arcade 1st Floor, 87, Civil Lines, Near Ayub Khan Chauraha,  
Bareilly-243001 Tel.: 0581-2551164, 2551086-88  
Telefax : 0581-2551165, E-mail : alankitbly@alankit.com

14102

## CHARGE SCHEDULE FOR CLEARING MEMBER POOL ACCOUNT (COMMODITY) FOR CDSL CD-FR-22

W.E.F. - 01-07-2007

<u>Nature of Service</u>	<u>Charges</u>
<b>Fixed Charges</b>	<b>Rs. 500/- per month</b>
Maximum Commodity Holding (MCH) in the account <b>(To be filled in by the Client)</b>	Rs. _____
Commodity Holding Charges (Per Month)	<b>0.02% value of Commodity</b>
Transaction Charges	Delivery Rs. 19/- per debit Instruction
CM Pool to CM Pool (Transfer)	Deliveries Rs. 15/- per debit Instruction
Delivery Instruction Book	Rs 40/- per book
Rematerialisation	Rs 75/- per request
Permanent Deposit	An amount equal to 0.5% of the MCH will be maintained with us on an ongoing basis as an interest free deposit towards Future Warehouse charges. This amount will be released at the time of account closure.
Warehouse / RTA / NCDEX / MCX charges	<b>Will be payable at actual as charged by the exchange / warehouse/ RTA</b>

Any Service Not Listed Above Will Be Charged For Extra.

No Refund of Annual Charges, whenever the Account Is Closed.

- Non-execution of delivery instruction due to any problem/error-courier charges Rs.30/- per communication/dispatch.
- Modifications in client master Rs. 25/- per instance.
- Charges for delivery instructions accepted at client's sole risk on or within 24 hours of execution date Rs. 10/- per delivery slip.
- Non-periodic statement and other communications shall be charged @ Rs. 5/- per page and postage/courier charges @ Rs. 30/- per mail.
- In case Bank mandate for debit through ECS is not given, minimum credit balance of Rs. 500/- shall be maintained as advance towards future charges.
- In case of non-payment of bill/dues within 15 days of due date, the depository services are liable to be discontinued within a period of 30 days from the date of demand. The renewal charges for resuming the services will be Rs. 100/-
- **\*Average value of commodity held during the month will be calculated by multiplying by average daily quantities held in the account for a month by the closing price of the commodity on the clearing day of the month.**
- Charges / Services standards are subject to revision at Depository Participants sole discretion whenever the charges are revised by Depository, 30 days notice would be given by publication in newspaper/post.
- Taxes and other government levies extra as applicable from time to time.

Alankit ASSIGNMENTS LIMITED

Authorised Signatory

⊗

\_\_\_\_\_  
First Holder



Central Depository Services (India) Ltd. (CDSL)  
28th Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 023  
Ph. 91-22-22723333, Fax : 91-22-22723199  
Website : <http://www.cdslindia.com>

Electronic Access to Securities Information & Execution of Secure  
Transactions (easiest)

Registration Form-Beneficial Owner (BO) / Clearing Member (CM)

Name of the Beneficial  
Owner(S)/ Clearing Member  
(CM) 1-

2-

3-

DPID

Client ID

User Name

DP Name

DP Address

Email Address of the BO/CM

Tel. No.

Transfer Option

Detail of Trusted A/c (Submit the undertaking from Trusted A/c holder as per format attached,  
applicable only if Trusted A/c option is selected)

Sr. No.

DPID

Client ID

1

2

3

I/ We would like to reister above-mentioned account for the (easiest) service. I/ We hereby agree to the term and conditions I/ we have read earlier for availing the said service.

Date

Place

### First/ Sole Holder

Name

Signature

### Second Holder

Name

Signature

### Third Holder

Name

Signature

---

(To be filled up by the DP)

This is to certify that

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

Are maintaining Bo A/c no. \_\_\_\_\_ with us. W e have verified the signatures of the said account holders and they match with the specimen signatures as per our records.

Date \_\_\_\_\_

Place \_\_\_\_\_

Signature \_\_\_\_\_

(Authorised Signatory & Samp of DP)

## easiest Terms and Conditions

Beneficial Owner(BO, Clearing Member(CM), Depository Participant(DP) in consideration of their mutual covenants and promises contained herein, and for good and valid consideration, the receipt, sufficiency and adequacy of which is hereby acknowledged, agree to the terms and conditions set for subscribing to **easiest** services :

1. Definitions and Interpretation - In this document, words and expressions listed in this Clause shall carry the meaning assigned to them in this Clause:

- a) "Account of choice" - Account of choice is the option wherein BO/CM can transfer the securities from the BO/CM account to any account of the choice of the BO/CM. The BO/CM can select this option provided **easiest** is accessed through possessed objects.
  - b) "Beneficial owner(BO)" means a person whose name is recorded as such with a depository. The BO has expressed its interest in availing of the services provided by the DP using **easiest**. The BO has executed the Depository Services Agreement pursuant to which the BO owns, controls and operates accounts with CDSL through the DP or the BO/DP have been exempted from entering into such an agreement pursuant to the relevant provisions of CDSL by laws. The BO and DP have agreed to record the terms and conditions mentioned forthwith upon which the BO may participate in **easiest**.
  - c) "Bye-laws" shall mean the Bye-laws of CDSL.
  - d) "CDSL" shall mean the Central Depository Services (India) Limited, a depository registered with the Securities and Exchange Board of India under the Depositories Act, 1996, of which the DP is a depository participant.
  - e) "Clearing Member" means a member of a stock exchange recognised under the Securities Contracts (Regulation) Act, 1956 who has obtained a certificate of registration from the Securities and Exchange Board of India under Section 12(1) of the Securities and Exchange Board of India Act, 1992 read with Regulation 6 of the Securities and Exchange Board of India (Stock Brokers and Sub-brokers) Regulations, 1992 or a Custodian of Securities who has obtained a certificate of registration from the Securities and Exchange Board of India under Section 12(1A) of the Securities and Exchange Board of India Act, 1992 read with Regulation 8 (3) of the Securities and Exchange Board of India (Custodian of Securities) Regulations, 1996 and who has an arrangement with a Clearing Corporation for settlement of trades in dematerialised form. The CM has expressed its interest in availing of the services provided by the DP using **easiest**. The CM has executed the Depository Services Agreement pursuant to which the CM owns, controls and operates accounts with CDSL through the DP or the CM/DP have been exempted from entering into such an agreement pursuant to the relevant provisions of CDSL bylaws. The CM and DP have agreed to record the terms and conditions mentioned forthwith upon which the CM may participate in **easiest**.
  - f) "Depository Participant"(DP) - The DP is a duly registered depository DP and is part of the CDSLs depository system. The DP has been registered with CDSL to offer **easiest**, a common infrastructure facility provided by CDSL, which enables BO/CMs to transfer securities through the Internet. The DP has agreed to receive and authenticate the instructions from BO/CM through Internet. The instructions processed through **easiest** will be processed only after the DP authenticates the same. The DP can authenticate each instruction one by one by accessing the DP admin module provided at **easiest** or the instructions can be authenticated by the DP using auto authentication flag, which the DP may allocate/mark for the BO/CM at the time of registration to **easiest** services.
  - g) "Depository Services Agreement" shall mean the "Agreement between the DP and the Person seeking to open an account with the DP" signed by the BO/CM at the time of opening the account.
  - h) "**easiest**" shall mean the Internet-based common infrastructure facility provided by CDSL, which is being used by the BO/CM for transactions which CDSL make available/permit from time to time.
  - i) "Operating Instructions": shall mean the procedural document issued by CDSL guiding BO/CM/DP while operating the CDSL system and **easiest**.
  - j) "PIN" shall mean the Personal Identification Number, either assigned to the BO or chosen by the BO pursuant to this Agreement, which may be necessary for the BO to access **easiest**.
  - k) "Possessed Object" shall mean a e-Token with a silicon chip or such other means capable of storing information and affixing digital signature which may be used by the BO/CM/DP for operating **easiest** services.
  - l) "Remembered Information" shall mean and include such confidential information such as password, PIN or such other information used for authentication of the BO/CM while making use of **easiest**, as may be generated by the BO/CM for access to **easiest**.
  - m) "Trusted Accounts" shall mean accounts of such type and number as to which CDSL may permit the BO to transfer securities from the BO's account, if the BO uses only Remembered Information for the purposes of accessing **easiest**.
  - n) "Working Day" shall mean all calendar days excluding Sundays and public holidays observed by CDSL as published by CDSL through communicate or at their website [www.cdslindia.com](http://www.cdslindia.com).
2. In this document, unless the context otherwise requires:
- a) the singular includes the plural and vice versa;
  - b) words importing any gender include the other gender;
  - c) reference to any statute, ordinance or other law includes all regulations and other instruments and all consolidations, amendments, re-enactments or replacements for the time being in force;
  - d) all headings, bold typing and italics (if any) have been inserted for convenience of reference only and do not define limit or affect the meaning or interpretation of this document;
  - e) reference to a Recital, Schedule, Annexure or Exhibit is to a Recital, Schedule,

Annexure or Exhibit of or to this document;

- f) reference to a person includes a natural person, body corporate, unincorporated association, government or governmental, semi governmental or municipal entity; and
  - g) reference to a BO/CM/DP means a BO/CM/DP as defined under the definition clause of this document and includes that party's successors, legal personal representatives and permitted assignees.
3. The DP shall from time to time prescribe to the BO/CM the minimum specification of hardware and software that will be required by the BO/CM to access **easiest**. The DP represents that the DP has fully complied with all the technical and operational requirements prescribed for the DP by CDSL.
4. The BO/CM shall have an option of either having a Possessed Object for validation of the BO/CM's access to **easiest** or generating the BO/CM's own Remembered Information for validation of such access by the BO/CM. From time to time, the DP may prescribe to the BO/CM, in accordance with instructions received by the DP from CDSL, such measures as may be required to ensure the safety and security of the BO/CM's access to and usage of **easiest** and the BO/CM shall abide by the same.
5. The BO/CM agrees to take adequate safety measures for accessing **easiest**, including but not limited to:
- a) In the event the BO/CM has opted for Remembered Information for access to **easiest**, the BO/CM shall take all the necessary steps to ensure confidentiality and secrecy of the BO/CM's Remembered Information used for authentication of the BO/CM and shall not reveal the same to any employee, agent or official of the DP or to any other person. The BO/CM acknowledges that failure to adhere to safety and security measures prescribed by CDSL and the DP could lead to a loss of confidentiality and secrecy of the Remembered Information, thereby exposing the BO/CM to the risk of financial losses.
  - b) In the event the BO/CM has opted for the Possessed Object, for the purpose of identifying the BO/CM in the process of authenticating the BO/CM's access to **easiest**, the BO/CM shall take all necessary steps to ensure that the Possessed Object is kept safely with itself and not to part with possession of the same to any third party. The BO/CM shall also ensure that the safety instructions issued for the usage of the Possessed Object are strictly adhered to.
  - c) The BO/CM acknowledges that in the interests of the security of the BO/CM's usage of **easiest**, if the BO/CM does not opt for Possessed Object as a means of authenticating the BO/CM's access to **easiest**, the BO/CM shall be entitled to transfer securities only to the Trusted Accounts. Further, the BO/CM shall submit to the DP an undertaking in the enclosed format from the holder/s of the trusted account.
  - d) The BO/CM acknowledges that all instructions received by the DP, authenticated pursuant to use of Remembered Information or Possessed Object shall be conclusive evidence of such instructions having been issued by the BO/CM and shall be attributed to the BO/CM. The DP shall not be held liable for acting on the instructions so received.
  - e) If the BO/CM has reason to believe that the confidentiality of the Remembered Information has been compromised or that the Possessed Object has been lost/misplaced/stolen, the BO/CM shall intimate the DP forthwith about such compromise or loss. The DP shall take best efforts to forthwith disable the BO/CM's access to **easiest** not later than one Working Day of receipt of such intimation from the BO/CM. However, if any instructions are received prior to such disablement, the DP shall not be liable for losses, if any, arising out of execution of such instructions.
6. In consideration of the access to **easiest**, the BO/CM/DP agrees to pay such charges as may be prescribed by DP/CDSL. CDSL/DP shall reserve the right to revise the charges by giving the BO/CM adequate advance notice, in no event lesser than thirty (30) days, to be issued either in writing or through their websites.
7. The BO/CM agrees that in the event of the BO/CM desiring to operate any joint account through **easiest**, it shall be a condition precedent for usage of **easiest** that all the joint holders shall sign the **easiest** registration form, the Remembered Information for access to **easiest** will be issued to the Email ID mentioned in the **easiest** registration form, favouring any one individual who shall be deemed to be the user of the Remembered Information or the Possessed Object for access to **easiest** will be issued favouring any one individual who shall be deemed to be the user of the Possessed Object for access to **easiest** and issue of instructions through **easiest**. The BO/CM hereby ratifies and upholds all such instructions issued through **easiest** using such Remembered Information or Possessed Object, as the case may be. The usage of and access to **easiest** using the Remembered Information or Possessed Object, as the case may be, shall be deemed to have been made by the BO/CM at the time of such access and use.
8. The BO/CM shall be entitled to change the Remembered Information on **easiest** from time to time.
9. The BO/CM is aware that the transaction through **easiest** will be processed only after the DP authenticates the same. The authentication may be manual authentication i.e. authentication of each instruction one by one or auto authentication, which the DP may allocate/mark for the BO/CM at the time of registration to **easiest** services. The DPs agree that in case they have set manual authentication for any BO/CM they will verify the receipt of any authentication instruction request daily before the expiry of dead line time mentioned at **easiest** and in the operating instructions for the **easiest**.
10. The DP has an authority to authenticate/ reject the transactions. The rejection of transaction by the DP will be intimated to the BO/CM through the **easiest** login of the BO/CM. The BO/CM can view the status of the transactions through the **easiest** login.
11. The BO/CM shall enter all its transactions instructions at **easiest** in a timely manner to ensure that the same are capable of being received by the DP for authentication. The different type of instructions i.e. Off Market, Settlement, Inter Depository, Early





***Alankit* ASSIGNMENTS LIMITED**

Regd. Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi 110 055