

Empowering Compliance: RegTech's Tech-Driven Regulatory Navigation



From The MD's Desk

Regulatory compliance has become vital to organisational success in today's quickly changing corporate world, where technology breakthroughs continue to transform industry sectors. Companies, however, now have a tremendous tool to expedite and improve their compliance operations, thanks to the introduction of Regulatory Technology, or RegTech. It has emerged as a game-changer in the finance sector and beyond, revolutionising regulatory compliance.

It is used to encompass novel digital solutions ranging from Artificial Intelligence, Biometrics, Machine Learning, and Big Data to make compliance more effective and optimise Regulatory Reporting, Compliance, and Monitoring in India. However, India still has a long way to go in adapting to this digitalisation and disruption.

RegTech in India has to contend with issues such as an intensifying regulatory framework, data privacy concerns, integration with legacy systems, and a qualified professional scarcity. Balancing innovation and compliance, negotiating multiple rules, and encouraging industry collaboration are all significant

"RegTech solutions also facilitate regulatory reporting, risk management, and audit processes, making compliance a strategic advantage rather than a burden."

challenges as technology strives to transform the country's regulatory framework.

Furthermore, product life cycles have gotten shorter in numerous industries. Companies must continually innovate revolutionary products to fulfil changing market demands while still complying with rules and regulations. RegTech has the potential to revolutionise the way organisations approach compliance. Its integration with AI, Blockchain, and Cloud Computing enables advanced functionalities such as Identity Verification, Fraud Detection, and Data Privacy Management. RegTech solutions also facilitate regulatory reporting, risk management, and audit processes, making compliance a strategic advantage rather than a burden.



Ankit Agarwal
Managing Director

To conclude, we can safely say that RegTech empowers efficient regulatory compliance by leveraging tools amid growing complexities, especially in finance. It fosters transparency, standardisation, and value for stakeholders and regulators, streamlining operations and sustainability.

Say No to Elderly Disrespect with NHSC

The shift from joint and extended families to nuclear family setups, particularly in urban Indian areas, has brought about a decline in the traditional support systems for the elderly. In response, the concept of old-age homes has gained prominence, addressing the needs of senior citizens who find themselves alone due to various circumstances.

Alankit, in collaboration with the **National Helpline for Senior Citizens (NHSC)**, steps forward to combat elderly disrespect and provide critical assistance to seniors aged 60 and above through the Elder Line initiative. We help you with:

- Pension Issues & Rescue Missions
- Old Age Home Services
- Intoxication Cases & Counselling
- Vaccination Availability & Health Care
- Legal Support

The partnership between Alankit and NHSC exemplifies a concerted effort to combat the growing issue of elderly neglect and mistreatment. This initiative acknowledges the evolving societal dynamics and strives to uphold the dignity and well-being of senior citizens who often find themselves isolated due to the changing family structure. By addressing a spectrum of challenges faced by the elderly, **Elder Line** becomes a vital resource for promoting their physical, emotional, and psychological welfare.

www.alankit.com



SERVICE CORNER



In a time when elderly individuals are susceptible to neglect and mistreatment due to changing family norms and modern living conditions, the Elder Line initiative serves as a beacon of hope. It emphasises the significance of honouring our seniors and ensuring they live their golden years with respect, care, and assistance. Through the collaboration between Alankit and NHSC, this initiative underscores the importance of collective responsibility in safeguarding the rights and well-being of our elderly population.



Invest in India's Healthcare Prowess

Presenting
HDFC Pharma and Healthcare Fund

NFO PERIOD: 14th to 28th September, 2023

Play on domestic spend and exports, aided by manufacturing leadership

Domestic spend	Exports opportunity	Manufacturing leadership
<ul style="list-style-type: none"> Penetration uptake Increasing elderly population and life expectancy Disease pattern change 	<ul style="list-style-type: none"> Patent expiries Complex drugs foray Outsourcing by global Big Pharma companies 	<ul style="list-style-type: none"> Booster shot from Government's PLI scheme Labour cost advantage Ready R&D ecosystem

For more information, contact us: +91- 72 900 666 17
e-mail: investments@alankit.com



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NFO Period: Sep 7- 21, 2023

*Gold ETF & other commodity ETFs & ETCs (Exchange Traded Commodity Derivatives)

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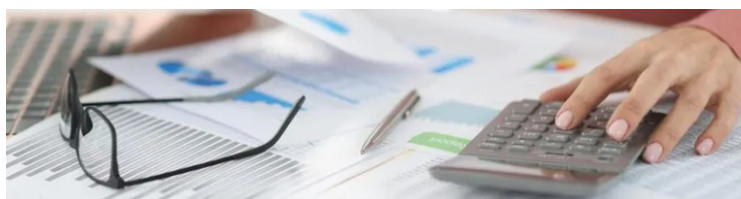
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Why Outsource Accounting & Book-Keeping Services in Dubai

Outsourced accounting services in the UAE have grown substantially in recent years. There are several forms of trade licence issues, including freelancer licences, free zone licences, and offshore company licences, and each of these businesses must adhere to UAE accounting and bookkeeping regulations. The implementation of VAT and Excise Tax has complicated accounting operations to a greater extent. Without internal control measures and audits, the company may incur penalties and jeopardise its image. Hiring and training staff is now obsolete. There are several other advantages to using outsourced accounting services.

The following are some of the advantages of outsourcing accounting and bookkeeping services:

- **Industry –Specific Expertise**
- **Efficient and Streamlined Operations**
- **Dedicated Business Continuity**
- **Exceptional Value and Saving**
- **Efficiently Managing the Workload**
- **Reduces Hiring Costs**
- **Meets the Compliance Requirement**
- **Reduces Fraud and Government Penalties**
- **Lowens Headcount Budget**
- **Full Access to Accounting and Bookkeeping Expertise**



Accounting automation and cost efficiency have raised the accounting department's expectations. If you are juxtaposing between operating your business and financial reporting, you should consider outsourcing your accounting services. Alankit has an in-house team of certified accountants who specialise in intricate, laborious financial reporting and bookkeeping. Our professional Accountants and CMAs assist companies with internal audits and risk management.

Why Alankit?

- **Online Cloud-Based Accounting**
- **Bookkeeping and Outsourced Accounting**
- **Financial Reports & MIS**
- **Backlog Accounting**
- **Supervision, Review & Finalisation of Books of Accounts**

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Alankit News

Alankit Awarded Achievers Award by SBI



We take pride in mentioning that Alankit has been bestowed with the esteemed Achiever's Award by the State Bank of India (SBI) for exceptional Account Opening under its Samajik Suraksha Abhiyan from **01.02.2023 to 31.03.2023**.

Through this award, the Bank recognises Alankit's effort to open the highest number of accounts during the mentioned period.

Alankit Awarded Managed Training Services Award by NIIT



We're delighted to announce that Alankit has been awarded the Managed Training Services Award & a Memento by NIIT Learning System Limited for our valuable guidance and support to them for managed services under the stock exchange listing on August 08, 2023. This memento stands as a symbol of appreciation, incorporating the commitment and dedicated efforts of the Company that have paved the way for this impactful partnership.



Knowledge Cafe

The pursuit of financial inclusion stands as an essential objective for the Government and Institutions, catalysing economic progress, poverty alleviation, and societal harmony. At the forefront of this mission is the Business Correspondence (BC) Model, a robust strategy that holds the promise of bringing financial services to the marginalised. By leveraging this model, the unbanked and underprivileged can gain access to essential financial resources, transforming their lives.

The BC Model operates as an extension of traditional banking channels, reaching out to underserved populations with much-needed financial services. Its significance lies not only in providing basic banking services to remote areas but also in fostering self-reliance within the industry itself. This model enables a spectrum of financial services to previously excluded populations, encompassing savings, deposits, withdrawals, and even small-scale credit facilities. By leveraging technological tools such as mobile banking and point-of-sale devices, business correspondents facilitate transactions and bring basic banking to the fingertips of marginalised communities.

Through this model, we strengthen the underserved, facilitate knowledge-sharing through open platforms, transition from merely fulfilling existing demand to generating new demand and offering training materials in local languages. This holistic strategy aims to not only extend the reach of financial services but also cultivate financial literacy and empowerment among the underserved.

Promoting Financial Inclusion through BCFI Model



Through this model, we strengthen the underserved, facilitate knowledge-sharing through open platforms, transition from merely fulfilling existing demand to generating new demand and offering training materials in local languages. This holistic strategy aims to not only extend the reach of financial services but also cultivate financial literacy and empowerment among the underserved.

The BC Model stands as a beacon of hope in the quest for financial inclusion. By offering a viable and accessible framework for financial services, coupled with recommendations to enhance industry dynamics, the model has the potential to reshape economies, uplift societies, and pave the way for a more equitable financial landscape.



HR Corner

Job Description

Position : Team Leader (Broking)

Experience: 5 to 10 years

Qualification: Graduate

Location: Indore, Raigarh, Lucknow,

Chennai, Hyderabad, Guwahati,

Chandigarh, Bareilly and Kolkata.

Welcome to a World of Possibilities Join a dynamic team of creative and talented people. All eligible candidates are encouraged to apply for the position listed below.

Duties and Responsibilities:

- Plan, schedule and manage the daily workflows of all client relationships within your account
- Develop, adhere and maintain targets concerning staffing
- Continuous relationship management across a wide range of hedge fund clients
- Manage and advise clients on their direct equity investment/ trades and generate broking revenue
- Manage Client Engagement & Client Acquisition
- In-depth knowledge of Stocks, Bonds, and Mutual funds
- Generation of revenue via cross-selling other products and services
- Advise clients on their equity portfolio and structuring/restructuring as required
- Contribute towards investment committee ideas and prepare research reports
- Coordinate with the research team for advice/review of portfolios for top customers on a regular basis
- Handling team members along with the given KRA

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हिन्दी अनुभाग

प्रधानमंत्री मुद्रा योजना (पीएमएमवाई)



प्रधानमंत्री मुद्रा योजना, भारत सरकार की एक प्रमुख योजना है। यह योजना 10 लाख रुपये तक के सूक्ष्म ऋण / लघु ऋण की सुविधा प्रदान करती है। विनिर्माण, प्रसंस्करण, व्यापार या सेवा क्षेत्र में गैर कृषि क्षेत्र में लगे आय पैदा करने वाले सूक्ष्म उद्यमों को 10 लाख रुपये मुद्रा सूक्ष्म और लघु संस्थाओं की गैर-कॉर्पोरेट, गैर-कृषि क्षेत्र की आय सृजन गतिविधियों को ऋण देने के लिए वित्तीय मध्यस्थों का समर्थन करता है।

इन सूक्ष्म और लघु संस्थाओं में छोटी विनिर्माण इकाइयों, सेवा क्षेत्र इकाइयों, दुकानदारों, फल/सब्जी विक्रेताओं, ट्रक ऑपरेटरों, खाद्य-सेवा इकाइयों, मरम्मत की दुकानों, मशीन ऑपरेटरों, छोटे उद्योगों, कारीगरों, खाद्य पदार्थों के रूप में चलने वाली लाखों स्वामित्व / साझेदारी फर्म शामिल हैं।

मुद्रा योजना के तहत ऋण केवल बैंकों और ऋण संस्थानों के माध्यम से प्राप्त किया जा सकता है जिनमें सार्वजनिक क्षेत्र के बैंक, निजी क्षेत्र के बैंक, राज्य संचालित सरकारी बैंक, क्षेत्रीय क्षेत्र के ग्रामीण बैंक, माइक्रो फाइनेंस की पेशकश करने वाले संस्थान बैंकों के अलावा अन्य वित्तीय कंपनियां हैं।

ब्याज दरें— ब्याज दरें बैंक के नीतिगत निर्णय के अनुसार ली जाती हैं।

अग्रिम / प्रसंस्करण शुल्क:

बैंक अपने आंतरिक दिशानिर्देशों के अनुसार अग्रिम शुल्क वसूलने पर विचार कर सकते हैं। अधिकांश बैंकों द्वारा शिशु ऋण के लिए अग्रिम / प्रसंस्करण शुल्क माफ कर दिया जाता है।

फायदे:

लामार्थी सूक्ष्म इकाई / उद्यमी की वृद्धि / विकास के चरण और वित्त पोषण आवश्यकताओं को दर्शाने के लिए योजना के तहत लार्थी को "शिशु (50000/- रुपये तक), किशोर (50001/- से 500000/- रुपये तक), और तरुण (500001 से 1000000/- रुपये तक) के रूप में तीन श्रेणियों के तहत वर्गीकृत किया गया है।

उधारकर्ता की पात्रता:

व्यक्तिगत, मालिकाना संस्था, साझेदारी फर्म, प्राइवेट लिमिटेड कंपनी, सार्वजनिक कंपनी, कोई अन्य कानूनी प्रपत्र

आवेदक किसी भी बैंक या वित्तीय संस्थान का डिफॉल्टर नहीं होना चाहिए और उसका क्रेडिट ट्रेक रिकॉर्ड संतोषजनक होना चाहिए। प्रस्तावित गतिविधि शुरू करने के लिए व्यक्तिगत उधारकर्ताओं के पास आवश्यक कौशल, अनुभव, ज्ञान होना आवश्यक हो सकता है। शैक्षिक योग्यता की आवश्यकता का मूल्यांकन प्रस्तावित गतिविधि की प्रकृति और उसकी आवश्यकता के आधार पर किया जाना चाहिए।

ऑनलाइन आवेदन प्रक्रिया:

नामांकन प्रक्रिया के लिए शर्तें—

आईडी प्रमाण, निवास प्रमाणपत्र, पासपोर्ट के आकार की फोटो, आवेदक के हस्ताक्षर, व्यवसायिक उद्यमों की पहचान / पते का प्रमाण

पीएम मुद्रा की आधिकारिक वेबसाइट पर जाएं, उसके बाद उद्यमित्र पोर्टल का चयन करें। मुद्रा ऋण "अभी आवेदन करें" पर क्लिक करें और नया उद्यमी / मौजूदा उद्यमी / स्व: रोजगार पेशेवर में से एक का चयन करें। फिर आवेदक का नाम, ईमेल और मोबाइल नंबर भरें और ओटीपी जनरेट करें।

व्यक्तिगत विवरण और व्यावसायिक विवरण भरें, ऋण आवेदन केंद्र पर क्लिक करें और अभी आवेदन करें। फिर ऋण श्रेणी — शिशु, किशोर और तरुण मुद्रा का चयन करें। फिर आवेदक को व्यवसाय का नाम, गतिविधि इत्यादि भरनी होगी। और उद्योग के प्रकार जैसे विनिर्माण, सेवा, व्यापार या कृषि का चयन करें।

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अन्य जानकारी जैसे निदेशक का विवरण, मौजूदा बैंकिंग / क्रेडिट सुविधाएं, प्रस्तावित क्रेडिट सुविधाएं, भविष्य का अनुमान और पसंदीदा लेंडर भरें। सभी आवश्यक दस्तावेज — आईडी प्रमाण, पता प्रमाण, आवेदक का फोटो, आवेदक के हस्ताक्षर, पहचान का प्रमाण / व्यावसायिक उद्यम का पता आदि संलग्न करें। एक बार आवेदन जमा हो जाने के बाद एक आवेदन संख्या उत्पन्न हो जाती है जिसे भविष्य के संदर्भ के लिए रखा जाना चाहिए।

सुविचार

आमदनी पर्याप्त न हो तब हम खर्चों पर नियंत्रण रखें।

जब जानकारी पर्याप्त न हो तब शब्दों पर नियंत्रण रखें।

आपकी मुस्कान आपके चेहरे पर भगवान के हस्ताक्षर हैं।

उसे अपने आंसुओं से धुलने या क्रोध से मिटने ना दें।

संदेह करने वाले व्यक्ति के लिए प्रसन्नता ना इस लोक में है ना ही कहीं और।

मनुष्य अपने विश्वास से निर्मित होता है। जैसा वो विश्वास करता है वैसा वो बन जाता है।

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